



NEWS RELEASE

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For further information, please contact:

Marco Wynnycky
Manager, Hearings
416-945-5146
mwynnyckyj@mfd.ca

MFDA sets date for Hearing on the Merits in the matter of Michael Guglielmi

November 5, 2015 (Toronto, Ontario) – The Mutual Fund Dealers Association of Canada (“MFDA”) commenced a disciplinary proceeding in respect of Michael Guglielmi (the “Respondent”) by Notice of Hearing (the “Notice of Hearing”) dated May 25, 2015.

An appearance in this proceeding took place today by teleconference before a Hearing Panel of the MFDA’s Central Regional Council.

The hearing of this matter on its merits has been scheduled to take place January 5, 2016, commencing at 9:30 a.m. (Eastern), or as soon thereafter as the matter can be heard, in the hearing room at the offices of the MFDA, located at 121 King Street West, Suite 1000, Toronto, Ontario. The hearing will be open to the public, except as may be required for the protection of confidential matters.

A copy of the [Notice of Hearing](#) is available on the MFDA website at www.mfda.ca. During the period described in the Notice of Hearing, the Respondent conducted business in the Vaughan, Ontario area.

The MFDA is the self-regulatory organization for Canadian mutual fund dealers, regulating the operations, standards of practice and business conduct of its 102 Members and their approximately 83,000 Approved Persons with a mandate to protect investors and the public interest. For more information about the MFDA’s complaint and enforcement processes, as well as links to ‘Check an Advisor’ and other Investor Tools, visit the [For Investors](#) page on the MFDA website.

- 30 -

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