



## **NEWS RELEASE**

*For immediate release*

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## **MFDA Hearing Panel makes findings against Katrina Powell**

**May 8, 2014** (Toronto, Ontario) – A disciplinary hearing in the matter of Katrina Anne Powell (the “Respondent”) concluded on May 8, 2014 in Calgary, Alberta, before a three-member Hearing Panel of the MFDA’s Prairie Regional Council.

Following submissions by Staff of the MFDA, the Hearing Panel found that the allegation concerning the Respondent set out in the Notice of Hearing dated July 2, 2013 had been established and imposed the following sanctions on the Respondent, advising that it will issue written reasons for its decision in due course:

- permanent prohibition on the authority of the Respondent to conduct securities related business in any capacity while in the employ of or associated with any MFDA Member; and
- \$5,000 in costs.

A copy of the [Notice of Hearing](#) is available on the MFDA website at [www.mfda.ca](http://www.mfda.ca). During the period described in the Notice of Hearing, the Respondent carried on business in Calgary, Alberta.

The MFDA is the self-regulatory organization for Canadian mutual fund dealers, regulating the operations, standards of practice and business conduct of its 111 Members and their approximately 80,000 Approved Persons with a mandate to protect investors and the public interest. For more information about the MFDA’s complaint and enforcement processes, as well as links to ‘Check an Advisor’ and other Investor Tools, visit the [For Investors](#) page on the MFDA website.

-30-