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CUSTODIAL AGREEMENTS

The following is an alphabetical listing of prescribed Custodial Agreements executed as of September 30, 2016 between the Mutual Fund Dealers Association of Canada (MFDA), as bare trustee on behalf of Members, and mutual fund companies and other financial institutions, in accordance to the Member Regulation Notice MSN-0058, Acceptable Securities Locations. Please ensure that your assets held are included in the types of products listed by the entity.

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>
1 1832 Asset Management L.P. - Dynamic Mutual Fund	Mutual Fund / Hedge Fund / Labour Sponsored Fund / Deposit Accounts
2 Acker Finley Asset Management Inc.	Mutual Fund
3 ACM Advisors Ltd.	Mortgage Investment Fund
4 Acorn Global Investments Inc.	Hedge Fund
5 AGF Investments Inc. - Acuity Pooled Funds - AGF Pooled Funds - AGF family of mutual funds - AGF Elements family of mutual funds - Harmony family of mutual funds	Mutual Fund / Pooled Fund
6 AlphaNorth Asset Management	Mutual Fund
7 Arrow Capital Management Inc.	Investment Products
8 Aston Hill Asset Management Inc.	Mutual Fund / Hedge Fund / Labour Sponsored Fund / Structured Products
9 ATB Investment Management Inc.	Mutual Fund
10 Aurion Capital Management Inc.	Pooled Fund
11 Aventine Management Group Inc.	Mutual Fund
12 B2B Bank	GIC's / High Interest Investment Accounts
13 Bank of Nova Scotia (The)	GIC's
14 Bank of Montreal	GIC's
15 Bank of Montreal Mortgage Corporation	GIC's
16 Barometer Capital Management Inc.	Mutual Fund / Pooled Fund
17 BC Advantage Fund Management Limited	Venture Capital Corporation (VCC) Funds

	<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>
18	B.E.S.T. Investment Counsel Limited	Mutual Fund / Labour Sponsored Fund
19	Beutel, Goodman & Company Ltd.	Mutual Fund
20	BlackRock Asset Management Canada Limited	Mutual Fund
21	BluMont Capital Corporation <ul style="list-style-type: none"> - Exemplar Portfolios Ltd. - BluMont family of funds - Northern Rivers Capital Management family of funds 	Mutual Fund / Hedge Fund / Pooled Fund / PPN's / Limited Partnerships / Alternative Investment
22	BMO Asset Management Inc.	Pooled Fund
23	BMO Harris Investment Management Inc.	Mutual Fund
24	BMO Investments Inc. <ul style="list-style-type: none"> - BMO Guardian Funds - BMO Mutual Funds - BMO China A-Share Fund 	Mutual Fund / Pooled Fund
25	BMO InvestorLine Inc.	Investment Products
26	BMO Life Assurance Company <ul style="list-style-type: none"> - BMO Guaranteed Investment Funds 	Segregated Fund
27	BMO Nesbitt Burns Inc.	Mutual Fund / Linked Notes
28	BMO Trust Company <ul style="list-style-type: none"> - Advisor's Advantage Trust 	GIC's
29	BNP Paribas (Canada)	Principal Protected Linked Notes
30	Brandes Investment Partners & Co.	Mutual Fund
31	Brandywine Global Investment Management (Canada), ULC	Mutual Funds / Pooled Fund
32	Brickburn Asset Management Inc. <ul style="list-style-type: none"> - Dominion Equity Resource Fund Inc. 	Mutual Fund
33	Bridgewater Bank	GIC's
34	Bridging Finance Inc.	Pooled Fund
35	British Columbia Discovery Fund (VCC) Inc.	Venture Capital Fund
36	Brompton Funds Limited	Investment Products
37	Bullion Management Services Inc.	Mutual Fund
38	Caisse populaire acadienne Itée (UNI)	GIC's
39	Caisse Populaire d'Alban Limited	GIC's
40	Caldwell Investment Management Ltd.	Mutual Fund
41	Canada Life Assurance Company (The)	Segregated Fund
42	Canadian Imperial Bank of Commerce	Mutual Fund / GIC's / Principal Protected Notes / Deposit Accounts
43	Canadian Tire Bank	GICs
44	Canadian Western Bank	GIC's

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>
45 Canadian Western Trust Company	GIC's
46 Canoe Financial LP	Mutual Fund
- EnerVest Natural Resource Fund	
- Canoe family of Funds	
47 Capital International Asset Management (Canada), Inc.	Mutual Fund
48 Central 1 Credit Union	Term Deposits
49 Centurion Asset Management Inc.	REIT
50 CGS Asset Management Ltd.	Mutual Fund
51 Chou Associates Management Inc.	Mutual Fund
52 CIBC Asset Management Inc.	Mutual Fund
53 CIBC Mortgages Inc.	GIC's / Deposit Accounts
54 CIBC Trust Corporation	GIC's / Deposit Accounts
55 CI Investments Inc.	Investment Products
- United Financial family of funds	
- Lakeview Asset Management family of funds	
56 Citizens Bank of Canada	Term Deposits / Demand Accounts
57 Coast Capital Savings Credit Union	GIC's
58 Communication Technologies Credit Union Limited	GIC's
59 Community Trust Company	GIC's
60 Concentra Financial Services Association	GIC's
61 Connor, Clark & Lunn Funds Inc.	Mutual Fund
62 Connor, Clark & Lunn Private Capital Ltd.	Mutual Fund
63 Counsel Portfolio Services Inc.	Mutual Fund
64 Covenant Capital Management Inc.	Pooled Fund
65 Covington Capital Corporation	Labour Sponsored Fund
66 Crystal Wealth Management System Limited	Mutual Fund
67 Davis-Rea Ltd./Davis-Rea Ltee.	Mutual Fund / Pooled Fund
68 Desjardins Financial Security Life Assurance Company	Annuity Contracts / Deferred Annuity Contracts / Individual Variable Insurance Contracts / Insurance Contracts
69 Desjardins Société de Placement Inc.	Mutual Fund / Desjardins Mutual Funds
70 Difference Capital Management Inc.	Hedge Fund
71 Dimensional Fund Advisors Canada ULC.	Mutual Fund
72 EdgePoint Wealth Management Inc.	Mutual Fund
73 Educators Financial Group Inc.	Mutual Fund
74 Equity Financial Trust Company	GIC's

	<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>
75	Effort Trust Company (The)	GIC's
76	Empire Life Insurance Company (The) - Segregated Funds - Interest investment options provided within an insurance contract	Segregated Fund
77	Empire Life Investments Inc.	Mutual Fund
78	Épargne Placement Québec	Savings Products
79	Epic Capital Management Inc.	Pooled Fund
80	Equilibrium Capital Management Inc.	Mutual Fund
81	Equitable Bank	GIC's / High Interest Savings Account
82	Equity Credit Union Inc.	GIC's
83	Excel Funds Management Inc.	Mutual Fund
84	Fédération des caisses Desjardins Du Québec For itself and on behalf of its member caisses	GIC's / Shares
85	Fédération des caisses Populaires De L'Ontario Inc. For itself and on behalf of its member caisses	GIC's / Shares
86	Fidelity Investments Canada ULC	Mutual Fund
87	Fiducie Desjardins Inc.	Mutual Fund / Segregated Fund / GIC's
88	Fiera Capital Corporation	Mutual Fund / Hedge Fund / PPN's / Structured Products / Pooled Fund / Segregated Fund
89	Finnish Credit Union Limited	GIC's
90	First Asset Investment Management Inc.	Mutual Fund
91	Fonds Férique	Mutual Fund
92	Foresters Financial Investment Management Company of Canada Inc.	Mutual Fund
93	Franklin Templeton Investments Corp.	Mutual Fund / Pooled Fund / Segregated Fund
94	Front Street Capital 2004	Mutual Fund / Hedge Fund / Labour Sponsored Fund
95	FrontierAlt Funds Management Limited	Mutual Fund
96	FT Portfolios Canada Co.	Mutual Fund
97	Galileo Global Equity Advisors Inc.	Mutual Fund
98	General Bank of Canada	GIC's
99	Genus Capital Management Inc.	Pooled Fund
100	Global Growth Assets Inc.	Mutual Fund
101	Globevest Capital Ltd.	Mutual Fund
102	Golden Opportunities Fund Inc.	Labour Sponsored Investment Fund
103	Goodman & Company, Investment Counsel, Inc. - Goodman Bluespring Fund	Mutual Fund / Limited Partnership / Alternative Investment Fund

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>
104 Goodwood Inc.	Mutual Fund / Hedge Fund
105 Gravitas Securities Inc.	Mutual Fund
106 Great-West Life Assurance Company (The)	Segregated Fund
107 GrowthWorks Atlantic Ltd.	Labour Sponsored Fund
108 GrowthWorks Capital Limited	Labour Sponsored Fund
- Working Opportunity Fund (EVCC) Ltd.	Labour Sponsored Fund
109 GrowthWorks WV Management Ltd.	Labour Sponsored Fund
110 Guardian Capital LP	Pooled Funds / Mutual Fund
111 Hamilton Municipal Employees' Credit Union Limited	GIC's
112 Harvest Portfolios Group Inc.	Mutual Fund
113 Hillsdale Investment Management Inc.	Mutual Fund / Hedge Fund
114 Hollis Canadian Bank	GIC's / High Interest Savings Account
115 Home Bank	GIC's / High Interest Savings Account
116 Home Trust Company	GIC's / High Interest Savings Account
117 Horizons ETFs Management (Canada) Inc.	Mutual Fund / Pooled Fund
118 HSBC Bank Canada	GIC's
119 HSBC Global Asset Management (Canada) Limited	Mutual Fund
120 HSBC Mortgage Corporation (Canada)	GIC's
121 HSBC Trust Company (Canada)	GIC's
122 IA Clarington Investments Inc.	Mutual Fund / Investment Products
123 I.A. Michael Investment Counsel Ltd.	Mutual Fund
- ABC Funds	
124 ICICI Bank Canada	GIC's
125 I.G. Investment Management, Ltd.	Mutual Fund
126 Industrial Alliance Insurance and Financial Services Inc.	Segregated Fund
127 Industrial Alliance Trust Inc.	GIC's
128 Integra Capital Limited	Mutual Fund
129 Invesco Canada Ltd.	Mutual Fund / Segregated Fund
130 Island Savings Credit Union	GIC's
131 Italian Canadian Savings & Credit Union Limited	GIC's
132 ivari	Segregated Fund / SPIA Contracts
133 JC Clark Ltd.	Pooled Fund
134 JDM Investment Partners Ltd.	Mutual Fund
135 Kensington Capital Advisors Inc.	All Investment Products
136 Laurentian Bank of Canada	GIC's
137 Laurentian Trust of Canada Inc.	GIC's

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>
138 LBC Trust	GIC's
139 Leith Wheeler Investment Counsel Ltd.	Mutual Fund
140 Lincluden Investment Management Limited	Mutual Fund
141 Lysander Funds Limited	Mutual Fund
142 Mackenzie Financial Corporation - Saxon funds	Mutual Fund / Segregated Fund
143 Manufacturers Life Insurance Company (The)	GIC's / Segregated Fund / Term Fund
144 Manulife Asset Management Limited	Mutual Fund / Segregated Fund / Pooled Funds
145 Manulife Bank of Canada	GIC's / Investment Savings Account
146 Manulife Trust Company	GIC's / Investment Savings Account
147 Marquest Asset Management Inc. - Marquest family of mutual funds and LPs - Matrix family of mutual funds and LPs - EnergyFields LPs - MineralFields LPs - Pathway LPs	Pooled Fund/ Institutional Fund / Mutual Fund / Limited Partnership
148 Mawer Investment Management Ltd.	Mutual Fund
149 MCAN Mortgage Corporation	GIC's
150 McElvaine Investment Management Ltd.	Mutual Fund
151 McLean Asset Management Ltd. - ROMC Trust	Mutual Fund
152 MD Financial Management Inc.	Mutual Fund
153 Merrill Lynch Canada Inc.	Linked Notes
154 MFS Investment Management Canada Limited	Mutual Fund
155 Middlefield Limited	Mutual Fund
156 Momentum Credit Union	GIC's
157 Montreal Trust Company of Canada	GIC's
158 National Trust Company	GIC's
159 Natcan Trust Company	Mutual Fund / GIC's / PPN's
160 National Bank of Canada	GIC's / PPN's
161 National Bank Investments Inc. - Altamira Mutual Funds	Mutual Fund / GIC's / PPN's / Investment Savings Account
162 National Bank Trust Inc.	Mutual Fund / GIC's / PPN's
163 NewGen Asset Management Limited	Trust Units / Limited Partnership Units
164 Next Edge Capital Corp.	Hedge Fund / Mutual Fund
165 NGAM Canada LP.	Mutual Fund

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>
166 Niverville Credit Union Limited	GIC's
167 Norrep Capital Management Ltd. - Norrep Group Of Funds	Mutual Fund / Limited Partnership
168 Northwest & Ethical Investment LP	Mutual Fund
169 OceanRock Investments Inc.	Mutual Fund
170 O'Leary Funds Management LP	Mutual Fund
171 ONE Financial Corporation	PPN's / Mutual Fund / Pooled Fund
172 Oshawa Community Credit Union Limited	GIC's
173 Peace Hills Trust Company	GIC's
174 Pembroke Private Wealth Management Ltd.	Mutual Fund
175 PenderFund Capital Management Ltd.	Labour Sponsored Fund / Venture Capital Fund / Mutual Fund
176 Peoples Trust Company	GIC's
177 Picton Mahoney Asset Management	Hedge Fund / Mutual Fund
178 PIMCO Canada Corp.	Mutual Fund
179 Polar Securities Inc.	Hedge Fund
180 Portland Investment Counsel Inc.	Investment Funds / Limited Partnerships
181 President's Choice Bank	GIC's
182 Primevest Capital Corp.	Hedge Fund
183 Prospera Credit Union	GIC's
184 Purpose Investments Inc.	Mutual Fund
185 Putnam Investments Inc.	Mutual Fund
186 Qwest Investment Fund Management Ltd.	Mutual Fund
187 RBC Global Asset Management Inc.	Mutual Fund / Hedge Fund / PPN's
188 RBC Life Insurance Company	Segregated Fund
189 Redwood Asset Management Inc.	Hedge Fund
190 R.E.G.A.R. Gestion Privée Inc.	Mutual Fund
191 ResMor Trust Company	GIC's
192 Resolute Funds Limited	Mutual Fund
193 Return on Innovation Advisors Ltd.	Mutual Fund / Labour Sponsored Fund / Pooled Funds
194 RN Croft Financial Group Inc.	Mutual Fund / Pooled Fund
195 Royal Bank of Canada	GIC's / Investment Savings Account / Structured Notes
196 Royal Bank Mortgage Corporation	GIC's / Investment Savings Account
197 Royal Trust Company (The)	GIC's / Investment Savings Account
198 Royal Trust Corporation of Canada	GIC's / Investment Savings Account

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>
199 Russell Investments Canada Limited - LifePoints family of mutual funds - Russell Group family of mutual funds - Sovereign family of mutual funds	Mutual Fund
200 SaskWorks Venture Fund Inc.	Labour Sponsored Fund
201 Scotia Capital Inc.	Mutual Fund / Segregated Fund / GIC's / Linked Notes / Debt, Equity and Money Market Instruments
202 Scotia Mortgage Corporation	GIC's
203 SEI Investment Canada Company	Mutual Fund
204 Sentry Investments Inc.	Mutual Fund / Structured Notes
205 Silvercreek Management Inc.	Limited Partnership
206 Smart Investments Ltd.	Mutual Fund
207 Société Générale (Canada)	Principal Protected Notes
208 Spartan Fund Management Inc.	Hedge Fund
209 Sprott Asset Management LP	Mutual Fund / Hedge Fund / Limited Partnership
210 SSQ, Société d'assurances-vie Inc.	Segregated Fund / GIC's
211 Steadyhand Investment Management Ltd.	Mutual Fund
212 Stone Asset Management Limited	Mutual Fund
213 Sun Life Assurance Company Of Canada	Segregated Fund Contracts
214 Sun Life Financial Trust Inc.	GIC's
215 Sun Life Global Investments (Canada) Inc.	Mutual Fund
216 Tangerine Bank	Mutual Fund / GIC's / Savings Accounts
217 Tangerine Investment Management Inc.	Tangerine Investment Funds
218 Taylor Asset Management Inc.	Pooled Fund
219 TD Bank Financial Group The Toronto-Dominion Bank TD Asset Management Inc. TD Pacific Mortgage Corporation TD Mortgage Corporation The Canada Trust Company First Nations Bank of Canada	Investment Products
220 TD Securities Inc.	Linked Notes
221 TD Waterhouse Canada Inc.	Investment Products
222 Terra Fund Management Ltd. - Terra family of mutual funds and LPs	Mutual Fund / Limited Partnership

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>
223 Thornmark Asset Management Inc.	Pooled Fund
224 Timbercreek Asset Management Ltd.	Mutual Fund / Limited Partnership
225 Toronto Trust Management Ltd.	Mutual Fund / Investment Funds
- Friedberg Mercantile Group family of funds	
226 Tradex Management Inc.	Mutual Fund
227 Trez Capital Fund Management Limited Partnership	Investment Products
228 Value Partners Investment Inc.	Mutual Fund
229 Vancouver City Savings Credit Union	Term Deposits
230 Vantage Asset Management Inc.	Pooled Fund
231 Venator Capital Management Ltd.	Hedge Fund
- Venator Founders Fund	
- Venator Income Fund	
- Venator Investment Trust	
232 VersaBank	GIC's
233 Vertex One Asset Management Inc.	Mutual Fund / Hedge Fund
234 VL Advisors Inc.	Labour Sponsored Fund
235 Wave Cycle Mutual Funds Ltd.	Mutual Fund
236 Wealth One Bank of Canada	GIC's / Investment Savings Account
237 Yorkville Asset Management Inc.	Mutual Fund
238 Zag Bank	GIC's / High Interest Savings Account



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Additions to List since December 21, 2007

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>	<u>Date Approved</u>
Acorn Global Investments Inc.	Hedge Fund	January 26, 2010
AlphaNorth Asset Management	Mutual Fund	June 21, 2011
Aurion Capital Management Inc.	Pooled Fund	April 26, 2010
Bank of Montreal Mortgage Corporation	GIC's	April 28, 2008
Barometer Capital Management Inc.	Pooled Fund	February 26, 2010
- Barometer Global Tactical Balanced Pool		
- Barometer High Income Pool		
- Barometer Long Short Equity Pool		
- Barometer Equity Pool		
B2B Trust	High Interest Investment Accounts	October 15, 2008
BC Advantage Fund Management Inc.	Venture Capital Corporation (VCC) Funds	October 14, 2014
BlackRock Asset Management Canada Limited	Mutual Funds	September 27, 2013
Blumont Capital Corporation	Pooled Fund / PPN's / Limited Partnerships / Alternative Investment	August 19, 2010
BMO Life Assurance Company	Segregated Fund	September 4, 2015
BNP Paribas (Canada)	Principal Protected Linked Notes	August 9, 2010
Bridging Finance Inc.	Pooled Fund	February 12, 2014
Brompton Funds Limited	Investment Products	June 10, 2013
Caisse Populaire d'Alban Limited	GIC's	May 29, 2008
Canadian Imperial Bank of Commerce	Principal Protected Notes	July 16, 2009
Canadian Tire Bank	GICs	November 14, 2012
Canoe Financial LP	Mutual Fund	March 31, 2011
Centurion Apartment REIT Management Inc.	REIT	November 21, 2012
CFF Bank	GIC's	May 2, 2014
CFF Bank	High Interest Savings Account	May 20, 2016
CGS Asset Management Ltd.	Mutual Fund	October 16, 2009
CI Investments Inc.	Investment Products	June 2, 2009
Communication Technologies Credit Union Limited	GIC's	December 31, 2007

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>	<u>Date Approved</u>
Connor, Clark & Lunn Funds Inc.	Mutual Fund	September 18, 2015
Covenant Capital Management Inc.	Pooled Fund	October 16, 2012
Covington Capital Corporation	Labour Sponsored Fund	February 14, 2012
Credit Union Central of British Columbia	Term Deposits	December 31, 2007
Davis-Rea Ltd./Davis-Rea Ltee.	Mutual Fund / Pooled Fund	May 28, 2014
Delphi Asset Management Inc.	Hedge Fund	June 30, 2008
Desjardins Société de Placement Inc.	Mutual Fund / Desjardins Mutual Fund	November 30, 2012
EdgePoint Wealth Management Inc.	Mutual Fund	October 29, 2008
Empire Life Investments Inc.	Mutual Fund	February 8, 2012
Equity Financial Trust Company	GIC's	November 2, 2010
Equitable Bank	High Interest Savings Account	October 8, 2014
Fédération des caisses Populaires De L'Ontario Inc. - For itself and on behalf of its member caisses	GIC's / Shares	April 30, 2008
Fiera Capital Inc.	Mutual Fund	May 27, 2008
First Asset Investment Management Inc. - Criterion Investments Family of Funds	Mutual Fund	December 4, 2009
Friedberg Mercantile Group Ltd.	Mutual Fund	December 31, 2007
General Bank of Canada	GIC's	April 29, 2008
Genus Capital Management Inc.	Pooled Fund	June 27, 2016
Global Growth Assets Inc.	Mutual Fund	June 6, 2013
Globevest Capital Ltd.	Mutual Fund	January 8, 2015
Goodman & Company, Investment Counsel, Inc. - Goodman Bluespring Fund	Mutual Fund / Limited Partnership / Alternative Investment Fund	June 8, 2015
Guardian Capital LP	Pooled Fund	October 15, 2008
Guardian Capital LP	Mutual Fund	October 31, 2011
Harvest Portfolios Group Inc.	Mutual Fund	July 21, 2011
Hesperian Capital Management Ltd. - Norrep Group Of Funds	Mutual Fund / Limited Partnership	November 13, 2013
HSBC Global Asset Management (Canada) Limited	Mutual Fund	March 24, 2014
IA Clarington Investments Inc.	Investment Products	August 31, 2010
Industrial Alliance Trust Inc.	GIC's	October 31, 2011
ING Direct Asset Management Limited	ING Direct Streetwise Fund	February 28, 2008
Island Savings Credit Union	GIC's	December 31, 2007
Italian Canadian Savings & Credit Union Limited	GIC's	December 31, 2007
JC Clark Ltd.	Pooled Fund	December 15, 2010
JDM Investment Partners Ltd.	Mutual Fund	February 16, 2010
JovFinancial Solutions Inc.	Mutual Fund	October 21, 2013

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>	<u>Date Approved</u>
Kensington Capital Advisors Inc.	Private Equity Fund / Hedge Fund	May 19, 2015
Lincluden Investment Management Limited	Mutual Fund	September 4, 2013
Lionridge Capital Management Inc.	Mutual Fund / Pooled Fund	February 26, 2010
Lysander Funds Limited	Mutual Fund	March 28, 2013
Manulife Trust Company	GIC's / Investment Savings Account	June 11, 2010
Marquest Asset Management Inc.	Pooled Fund/ Segregated Fund/ Institutional Fund	March 27, 2008
Matrix Funds Management (A Division of GrowthWorks Capital Ltd.)	Mutual Fund	November 29, 2010
McLean Asset Management Ltd.	Mutual Fund	June 16, 2016
NewGen Asset Management Limited	Trust Units / Limited Partnership Units	January 11, 2016
Niverville Credit Union Limited	GIC's	March 21, 2016
Northwest & Ethical Investments LP	Mutual Fund	November 14, 2013
O'Leary Funds Management LP	Mutual Fund	December 12, 2011
Oshawa Community Credit Union Limited	GIC's	December 31, 2007
OTG Financial Inc.	Mutual Fund	December 31, 2007
Picton Mahoney Asset Management	Mutual Fund	October 31, 2015
PIMCO Canada Corp.	Mutual Fund	December 29, 2010
Polar Securities Inc.	Hedge Fund	November 13, 2009
Portfolio Strategies Securities Inc.	Mutual Fund	September 27, 2013
Portland Investment Counsel Inc.	Investment Funds / Limited Partnerships	April 14, 2011
President's Choice Bank	GIC's	May 30, 2013
Primevest Capital Corp.	Hedge Fund	April 14, 2008
Purpose Investments Inc.	Mutual Fund	December 9, 2013
Qwest Investment Fund Management Ltd.	Mutual Fund	July 8, 2013
RBC Life Insurance Company	Segregated Fund	October 28, 2008
R.E.G.A.R. Gestion Privée Inc.	Mutual Fund	June 12, 2014
RN Croft Financial Group Inc.	Mutual Fund / Pooled Fund	February 26, 2009
Royal Bank of Canada	Investment Savings Account	May 28, 2010
Royal Bank Mortgage Corporation	GIC's / Investment Savings Account	July 31, 2012
Royal Trust Company (The)	GIC's / Investment Savings Account	July 31, 2012
Royal Trust Corporation of Canada	GIC's / Investment Savings Account	July 31, 2012
SCM Limited Partnership	Hedge Fund	December 5, 2008
Scotia Asset Management L.P.	Mutual Fund	November 30, 2009
Seamark Asset Management Ltd.	Labour Sponsored Fund / Mutual Fund	July 4, 2013

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>	<u>Date Approved</u>
Sentry Select Capital Inc.	Mutual Fund / Structured Notes	November 30, 2009
Sentry Select Investments Inc.	Hedge Fund	July 22, 2009
Silvercreek Management Inc.	Limited Partnership	November 4, 2011
Smart Investments Ltd.	Mutual Fund	April 14, 2016
Société Générale (Canada)	Principal Protected Notes	March 27, 2008
Spartan Fund Management Inc.	Hedge Fund	February 19, 2010
Sprott Asset Management LP	Mutual Fund/ Hedge Fund/ Limited Partnership	March 7, 2012
SSQ, Société d'assurances-vie Inc.	Segregated Fund/ GIC's	June 30, 2008
Standard Life Assurance Company of Canada (The)	Term Fund	July 27, 2010
Standard Life Mutual Funds Ltd.	Pooled Fund	October 23, 2013
Stone Asset Management Limited	Mutual Fund	July 8, 2013
Sun Life Assurance Company of Canada	Segregated Fund Contracts	July 23, 2015
Sun Life Financial Trust Inc.	GIC's	February 19, 2013
Sun Life Global Investments (Canada) Inc.	Mutual Fund	November 30, 2010
Taylor Asset Management Inc.	Pooled Fund	May 9, 2014
TD Securities Inc.	Linked Notes	January 25, 2008
TD Waterhouse Canada Inc.	Investment Products	January 31, 2008
Thornmark Asset Management Inc.	Pooled Fund	December 14, 2009
Timbercreek Asset Management Ltd.	Mutual Fund / Limited Partnership	September 30, 2015
Toronto Trust Management Ltd.	Mutual Fund / Investment Funds	November 13, 2013
Transamerica Life Canada	SPIA Contracts	February 5, 2008
Trez Capital Fund Management Limited Partnership	Investment Products	March 28, 2013
Twin Oak Credit Union Ltd.	GIC's	December 31, 2007
Vantage Asset Management Inc.	Pooled Fund	November 12, 2014
Venator Capital Management Ltd. - Sterling family of funds	Hedge Fund	March 19, 2009
VL Advisors Inc.	Labour Sponsored Fund	March 10, 2011
Wave Cycle Mutual Funds Ltd.	Mutual Fund	March 27, 2008
Wealth One Bank of Canada	GIC's / Investment Savings Account	September 30, 2016
Webb Asset Management Canada, Inc.	Mutual Fund	March 17, 2011
Wellington West Asset Management Inc.	Mutual Fund	July 28, 2009
Yorkville Asset Management Inc.	Mutual Fund	October 5, 2011
Zag Bank	GIC's / High Interest Savings Account	March 2, 2016



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Deletions from List since December 21, 2007

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>	<u>Date Removed</u>	<u>Reason of Removal</u>
AIC Limited	Mutual Funds / Segregated Fund	September 25, 2009	AIC Limited has assigned the agreement to Elliott & Page Limited.
Inhance Investment Management Inc.	Mutual Fund	December 18, 2009	Change in Fund Manager to IA Clarington Investments Inc.
Scotia Securities Inc.	Mutual Fund	November 30, 2009	Change in Fund Manager to Scotia Asset Management L.P.
Sentry Select Capital Corp.	Mutual Fund / Structured Notes	November 30, 2009	Change in Fund Manager to Sentry Select Capital Inc.
Mavrix Fund Management Inc.	Mutual Fund	November 29, 2010	Change in Fund Manager to Matrix Funds Management (A Division of GrowthWorks Capital Ltd.)
Thales Active Asset Allocation Fund	Pooled Fund	February 28, 2011	The Thales Active Asset Allocation Fund is no longer active as of December 31, 2010.
VentureLink LP	Labour Sponsored Fund	March 10, 2011	Change in Fund Manager to VL Advisors Inc.
Middlefield Fund Management Limited	Mutual Fund	March 11, 2011	Middlefield Fund Management Limited assigned the agreement to Middlefield Limited.
TDK Fund Management Inc.	Mutual Fund	March 11, 2011	Change in Fund Manager to First Asset Investment Management Inc.

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>	<u>Date Removed</u>	<u>Reason of Removal</u>
Halcyon Fund Management Inc.	Mutual Fund	March 11, 2011	Change in Fund Manager to BluMont Capital Corporation.
Algonquin Power Venture Fund Inc.	Labour Sponsored Fund	March 11, 2011	Algonquin Power Venture Fund Inc. is no longer in existence.
Kyoto Planet Asset Management Inc.	Mutual Fund	March 11, 2011	Kyoto Planet Asset Management Inc. is no longer in existence.
Les Fonds d'Investissements Spécialisés du Québec Inc.	Mutual fund	March 11, 2011	Les Fonds d'Investissements Spécialisés du Québec Inc. is no longer in existence.
Les Fonds Diamant Inc.	Mutual Fund	March 11, 2011	Les Fonds Diamant Inc. is no longer in existence.
EnerVest Funds Management Inc.	Mutual Fund	March 31, 2011	Change in Fund Manager to Canoe Financial LP.
Connor, Clark and Lunn Managed Portfolio Inc.	Mutual Fund	October 1, 2011	Change in Fund Manager to Connor, Clark & Lunn Private Capital Ltd.,
Sarbit Asset Management Inc.	Mutual Fund	November 30, 2011	No longer active and not registered.
Tricycle Asset Management Capital Corp.	Linked Notes	November 30, 2011	No longer registered.
Sprott Asset Management Inc.	Mutual Fund / Hedge Fund / Limited Partnership	March 7, 2012	Change in Fund Manager to Sprott Asset Management LP.
Fédération des caisses Desjardins Du Québec	Mutual Fund / Desjardins Mutual Funds	November 30, 2012	Change in Fund Manager to Desjardins Société de Placement Inc.
BC Advantage Funds (VCC) Ltd.	Venture Capital Corporation (VCC) Funds	November 30, 2012	No longer registered.
SFCS Capital (Canada) Corp.	Hedge Fund	March 31, 2013	No longer registered.

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>	<u>Date Removed</u>	<u>Reason of Removal</u>
Creststreet Mutual Funds Limited	Mutual Fund / Limited Partnership	June 10, 2013	Change in Fund Manager to Brompton Funds Limited.
Stone & Co. Limited	Mutual Fund	July 8, 2013	Not registered. See Stone Asset Management Limited
Qwest Investment Management Corp.	Mutual Fund	July 8, 2013	Not registered. See Qwest Investment Fund Management Ltd.
Lincluden Management Limited	Mutual Fund	September 4, 2013	Not registered. See Lincluden Investment Management Limited.
JovFunds Management Inc.	Mutual Fund / Alternative Investments / Structured Products	October 21, 2013	Not registered. See JovFinancial Solutions Inc.
MineralFields Fund Management Inc.	Mutual Fund / Limited Partnership	October 29, 2013	See Marquest Asset Management Inc.
Marquest Asset Management Inc.	Segregated Fund	October 29, 2013	Update product types
Norrep Inc.	Mutual Fund	November 13, 2013	Not registered. See Hesperian Capital Management Ltd.
Ethical Funds Inc.	Mutual Fund	November 14, 2013	No longer active. See Northwest & Ethical Investments LP.
Northwest Mutual Fund Inc.	Mutual Fund	November 14, 2013	No longer active. See Northwest & Ethical Investments LP.
Friedberg Mercantile Group Ltd.	Mutual Fund	November 14, 2013	Not registered. See Toronto Trust Management Ltd.
Quadrex Asset Management Inc.	Hedge Fund	January 31, 2014	No longer active.
Hollis Canadian Bank.	PPN's / Structured Notes	February 25, 2014	Products not sold

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>	<u>Date Removed</u>	<u>Reason of Removal</u>
HSBC Investment Funds (Canada) Inc.	Mutual Fund	February 28, 2014	No longer registered.
D.O.C.P. Management Corp	Mutual Fund / OM Products / Limited Partnership	March 31, 2014	Not registered.
Gentree Asset Management Inc.	Hedge Fund	March 31, 2014	No longer active
OpenSky Capital Inc.	Linked Notes / Mutual Fund	March 31, 2014	No longer active
Sentry Select Investment Inc.	Hedge Fund	March 31, 2014	No longer active
Van Arbor Asset Management Ltd.	Mutual Fund	March 31, 2014	No longer active
VenGrowth Asset Management Inc.	Mutual Fund / Labour Sponsored Fund	March 31, 2014	Not registered.
Horizons Funds Inc.	Mutual Fund / OM Products / Limited Partnership	March 31, 2014	Not registered.
Natcan Investment Management Inc.	Pooled Fund	January 31, 2015	No longer active
Webb Asset Management Canada Inc.	Mutual Fund	April 30, 2015	No longer active
Wellington West Asset Management Inc.	Mutual Fund	April 30, 2015	No longer active
Lionridge Capital Management Inc.	Mutual Fund / Pooled Fund	April 30, 2015	Not registered
NBF Emissary Turnkey Solution LP	Mutual Fund	April 30, 2015	Not registered
SCM Limited Partnership	Hedge Fund	April 30, 2015	Not registered
Goodman & Company, Investment Counsel Ltd. - Dynamic Mutual Fund	Mutual Fund / Hedge Fund / Labour Sponsored Fund / GIC's / Linked Notes / Deposit Accounts / Limited Partnership / PPN's / Structured Notes	June 30, 2015	No longer active. See 1832 Asset Management L.P
Scivest Alternative Strategies Inc.	Hedge Fund	March 31, 2016	No longer active. See Redwood Asset Management Inc.
Pro-Financial Asset Management Inc.	Hedge Fund / Linked Notes / Mutual Fund	March 31, 2016	No longer active. See Smart Investments Ltd.
Standard Life Assurance Company of Canada (The)	Segregated Fund / Term Fund	March 31, 2016	No longer active. See Manufacturers Life Insurance Company (The).



CUSTODIAL AGREEMENTS

Name Changes and Mergers since December 21, 2007

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>	<u>Date</u>	<u>Comments</u>
AIM Funds Management Inc.	Mutual Fund / Segregated Fund	August 11, 2008	Name changed to Invesco Trimark Ltd.
Delphi Asset Management Inc.	Hedge Fund	July 24, 2009	Name Changed to SFCS Capital (Canada) Corp. (see Deletions List above)
Lawrence Asset Management Inc.	Mutual Fund / Hedge Fund / Labour Sponsored Fund	January 11, 2011	Name Changed to Aston Hill Asset Management Inc.
Steadyhand Investment Management Ltd.	Mutual Fund	July 31, 2010	Fund manager for Steadyhand family of funds was previously Steadyhand Investment Funds Limited Partnership
Venator Capital Management Ltd.	Hedge Fund	January 1, 2010	Previously was fund manager for Sterling funds which are now Performance Group of Fund
Northern Rivers Capital Management Inc.	Mutual Fund	April 1, 2010	Northern Rivers Capital Management Inc. merged with Blumont Capital Corporation
OTG Financial Inc.	Mutual Fund	September 15, 2008	Name changed to Educators Financial Group Inc.
Altamira Investment Services Inc.	Mutual Fund	November 1, 2008	Altamira Investment Services Inc. merged with National Bank Securities Inc.
Guardian Group of Funds Limited (The)	Mutual Fund	November 1, 2009	Guardian Group of Funds Limited merged with BMO Investments Inc.
Fiera Capital Inc.	Mutual Fund / Hedge Fund / PPN's / Structured Products / Pooled Fund / Segregated Fund	September 1, 2010	Name changed to Fiera Sceptre Inc.

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>	<u>Date</u>	<u>Comments</u>
Sceptre Investment Counsel Limited	Mutual Fund / Hedge Fund	September 1, 2010	Name changed to Fiera Sceptre Inc.
United Financial Corporation	Mutual Fund	January 1, 2010	United Financial Corporation merged with CI Investments Inc.
Lakeview Asset Management Inc.	Mutual Fund	January 1, 2009	Lakeview Asset Management Inc. merged with CI Investments Inc.
Saxon Funds Management Limited	Mutual Fund	January 26, 2009	Saxon Funds Management Limited merged with Mackenzie Financial Corporation
Hartford Investments Canada Corp.	Mutual Fund	January 21, 2011	Name changed to Castlerock Investments Inc.
Elliott & Page Limited	Mutual Fund / Segregated Fund	August 19, 2010	Name changed to Manulife Asset Management Limited.
GBC Asset Management Inc.	Mutual Fund	August 15, 2011	Name changed to Pembroke Private Wealth Management Ltd.
Arrow Hedge Partners Inc.	Hedge Fund	April 1, 2011	Name changed to Arrow Capital Management Inc.
Qtrade Fund Management Inc.	Mutual Fund	October 8, 2010	Name changed to Ocean Rock Investments Inc.
Barlow Capital Management Inc.	Mutual Fund	February 1, 2011	Name changed to Aventine Management Group Inc.
Counsel Group of Funds Inc.	Mutual Fund	October 2, 2009	Name changed to Counsel Portfolio Services Inc.
MD Funds Management Inc.	Mutual Fund	July 31, 2009	MD Funds Management Inc. amalgamated with MD Private Investment Management Inc. The amalgamated entity is MD Physician Services Inc.
RBC Asset Management Ltd.	Mutual Fund / Hedge Fund / PPN's	November 1, 2010	RBC Asset Management Inc. amalgamated with Phillips, Hager & North Investments Management Ltd. The amalgamated entity is RBC Global Asset Management Inc.

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>	<u>Date</u>	<u>Comments</u>
Phillips, Hager & North investment Management Ltd.	Mutual Fund	November 1, 2010	Phillips, Hager & North Investment Management Ltd. amalgamated with RBC Asset Management Inc. The amalgamated entity is RBC Global Asset Management Inc.
B2B Trust	GIC's / High Interest Investment Accounts	July 7, 2012	Name changed to B2B Bank.
Return on Innovation Management Ltd.	Mutual Fund / Labour Sponsored Fund / Pooled Funds	September 1, 2012	Return on Innovation Management Ltd. Amalgamated with Return on Innovation Advisors Ltd. The amalgamated entity is Return on Innovation Advisors Ltd.
AGF Funds Inc.	Mutual Fund	November 8, 2012	Name changed to AGF Investments Inc.
Acuity Funds Ltd.	Mutual Fund	November 8, 2012	Acuity Funds Ltd. Amalgamated with AGF Investments Inc. The amalgamated entity is AGF Investments Inc.
Equitable Trust Company (The)	GIC's	July 1, 2013	Name changed to Equitable Bank
GrowthWorks Capital Ltd. – Matrix Funds Management (A Division of GrowthWorks Capital Ltd.).	Labour Sponsored Fund / Mutual Fund	July 4, 2013	Assigned to Seamark Asset Management Ltd.
Seamark Asset Management Ltd	Labour Sponsored Fund / Mutual Fund	August 16, 2013	Assigned to Marquest Asset Management Inc.
AGF Trust Company	GIC's	September 1, 2013	Amalgamated with B2B Bank
Scotia Asset Management L.P.	Mutual Fund	September 30, 2013	Name changed to 1832 Asset Management L.P.
Dundee Bank of Canada	GIC's / PPN's / Structured Notes / Savings Accounts	November 1, 2013	Name changed to Hollis Canadian Bank.

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>	<u>Date</u>	<u>Comments</u>
Dundee Securities Corporation	Structured Notes	January 28, 2011	Name changed to DWM Securities Inc.
DWM Securities Inc.	Structured Notes	November 1, 2013	DWM Securities Inc. amalgamated with Scotia Capital Inc. The amalgamated entity is Scotia Capital Inc.
Abria Alternative Investments Inc	Hedge Fund	April 24, 2012	Name changed to Difference Capital Management Inc.
BetaPro Management Inc.	Mutual Fund / Pooled Fund	October 14, 2011	Name changed to Horizons ETFs Management (Canada) Inc.
Beutel Goodman Managed Funds Inc.	Mutual Fund	January 1, 2013	Beutel Goodman Managed Funds Inc. amalgamated with Beutel, Goodman & Company Ltd. The amalgamated entity is Beutel, Goodman & Company Ltd.
Sentry Select Capital Inc.	Mutual Fund / Structured Notes	May 27, 2011	Name changed to Sentry Investments Inc.
M.R.S Trust Company	GIC's	April 16, 2012	M.R.S Trust Company merged with B2B Trust. B2B Trust changed name to B2B Bank.
ING Bank of Canada	Mutual Fund / GIC's / Savings Accounts	April 8, 2014	Name changed to Tangerine Bank
ING Direct Asset Management Limited	ING Direct Streetwise Fund	April 8, 2014	Name changed to Tangerine Investment Management Inc.
Jones Heward Investment Counsel Inc.	Pooled Fund	May 7, 2010	Name changed to BMO Asset Management Inc.
Brigata Capital Management Inc.	Mutual Fund	December 3, 2013	Brigata Capital Management Inc. amalgamated with Counsel Portfolio Services Inc. The amalgamated entity is Counsel Portfolio Services Inc.

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>	<u>Date</u>	<u>Comments</u>
National Bank Securities Inc.	Mutual Fund / GIC's / PPN's / Investment Savings Account	May 12, 2014	Name changed to National Bank Investments Inc.
Fiera Sceptre Inc.	Mutual Fund / Hedge Fund / PPN's / Structured Products / Pooled Fund / Segregated Fund	April 17, 2012	Name changed to Fiera Capital Corporation.
Invesco Trimark Ltd.	Mutual Fund / Segregated Fund	July 29, 2011	Name changed to Invesco Canada Ltd.
Legg Mason Canada Inc.	Mutual Fund / Pooled Fund	March 31, 2014	Name changed to Brandywine Global Investment Management (Canada), ULC.
Centurion Apartment REIT Management Inc.	REIT	August 8, 2013	Name changed to Centurion Asset Management Inc.
Castlerock Investments Inc.	Mutual Fund	June 30, 2011	Castlerock Investment Inc. amalgamated with CI Investments Inc. The amalgamated entity is CI Investments Inc.
Credit Union Central of British Columbia	Term Deposits	July 1, 2008	Credit Union Central of British Columbia amalgamated with Credit Union Central of Ontario Limited. The amalgamated entity is Central 1 Credit Union.
Dimensional Fund Advisors Canada Inc.	Mutual Fund	February 13, 2009	Name changed to Dimensional Fund Advisors Canada ULC.
Fidelity Investments Canada Limited	Mutual Fund	September 26, 2007	Name changed to Fidelity Investments Canada ULC.
First Defined Portfolio Management Co.	Mutual Fund	May 3, 2012	Name changed to FT Portfolios Canada Co.
NexGen Financial Limited Partnership	Mutual Fund	August 18, 2015	Name changed to NGAM Canada LP.
MD Physician Services Inc.	Mutual Fund	December 15, 2014	Name changed to MD Financial Management Inc.

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>	<u>Date</u>	<u>Comments</u>
Galileo Funds Inc.	Mutual Fund	July 31, 2012	Galileo Funds Inc. amalgamated with Galileo Global Equity Advisor Inc. The amalgamated entity is Galileo Global Equity Advisors Inc.
Industrial-Alliance Pacific Life Insurance Company	Segregated Fund	June 30, 2012	Industrial-Alliance Pacific Life Insurance Company amalgamated with Industrial Alliance Insurance and Financial Services Inc. The amalgamated entity is Industrial Alliance Insurance and Financial Services Inc.
Maple Trust Company	GIC'S	January 1, 2012	Maple Trust Company amalgamated with Scotia Mortgage Corporation. The amalgamated entity is Scotia Mortgage Corporation.
McLean Budden Limited	Mutual Fund	November 11, 2013	Name changed to MFS Investment Management Canada Limited.
Meritas Financial Inc.	Mutual Fund	October 8, 2010	Meritas Financial Inc. amalgamated with OceanRock Capital Partners Inc. The amalgamated entity is OceanRock Investment Inc.
Transamerica Life Canada	Segregated Fund / SPIA Contracts	October 26, 2015	Name changed to ivari.
Hesperian Capital Management Ltd.	Mutual Fund / Limited Partnership	December 1, 2014	Name changed to Norrep Capital Management Ltd.
Bank West	GIC's	March 2, 2016	Name changed to Zag Bank.
Titan Funds Incorporated	Mutual Fund	January 1, 2011	Titan Funds Incorporated amalgamated with Counsel Portfolio Services Inc. The amalgamated entity is Counsel Portfolio Services Inc.

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>	<u>Date</u>	<u>Comments</u>
Twin Oak Credit Union Ltd.	GIC's	December 1, 2010	Twin Oak Credit Union Ltd. amalgamated with Hamilton Credit Union. The amalgamated entity is Momentum Credit Union.
Standard Life Mutual Funds Ltd.	Mutual Fund / Pooled Fund	July 1, 2015	Standard Life Mutual Funds Ltd. amalgamated with Manulife Asset Management Limited. The amalgamated entity is Manulife Asset Management Limited.
JovFinancial Solutions Inc.	Mutual Fund	March 1, 2015	JovFinancial Solutions Inc. amalgamated with IA Clarington Investments Inc. The amalgamated entity is IA Clarington Investments Inc.
Aegon Fund Management Inc.	Mutual Fund	May 4, 2016	Name changed to Foresters Financial Investment Management Company of Canada Inc.
Man Investments Canada Corp.	Hedge Fund / Mutual Fund	June 5, 2014	Name changed to Next Edge Capital Corp.
Pacific & Western Bank of Canada	GIC's	May 13, 2016	Name changed to VersaBank.
La Fédération des Caisses Populaires Acadiennes Ltée	GICs	July 1, 2016	La Fédération des Caisses Populaires Acadiennes Ltée amalgamated with l'Office de Stabilisation de la Federation des Caisse Populaires Acadiennes Limitee and 15 caisse populaires. The amalgamated entity is Caisse populaire acadienne ltée (UNI).
CFF Bank	GIC's / High Interest Savings Account	August 22, 2016	Name changed to Home Bank.
Portfolio Strategies Securities Inc.	Mutual Fund	April 12, 2016	Name changed to Gravitas Securities Inc.