



Order
File No.

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1
OF THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

Re: [Respondent]

NOTICE OF MOTION

NOTICE is hereby given that a motion will be brought before a Hearing Panel of the [region] Regional Council (the "Hearing Panel") of the Mutual Fund Dealers Association of Canada (the "MFDA"), commencing on [date] at [municipality], at [time] or as soon thereafter as the motion can be heard.

NOTICE is further given that it is proposed that the motion be conducted as an [oral/written/electronic] hearing.

THE MOTION is for:

1. [State relief sought in consecutively numbered paragraphs]

THE GROUNDS for the motion are:

1. [State brief summary of the grounds for the relief sought, including reference to any relevant provisions of a By-Law, Rule or Policy of the MFDA, the Rules of Procedure, or a statute or regulation in consecutively numbered paragraphs – *See Rule of Procedure 6.4(1)(d)*]

THE FOLLOWING evidence and materials will be relied upon at the hearing of the motion:

1. [State the evidence and materials in consecutively numbered paragraphs]

DATED this [day] day of [month], 200[].

Name of Moving Party or Moving Party's Counsel or Agent
[address]

Telephone:
Fax:
Email:

TO:

Name of Responding Party or Responding Party's Counsel or Agent
[address]

Telephone:
Fax:
Email:

The motion shall be conducted as an [oral/written/electronic] hearing unless the Responding Party objects or the Hearing Panel directs otherwise. The Responding Party may object by advising all other parties and the Secretary in writing of the grounds for the objection no later than two (2) days after the effective date of service of the Motion Record. (See Rule 6.3(3) of the MFDA's Rules of Procedure)