



MFDA Investor Protection Corporation

Corporation de protection des investisseurs de l'ACFM

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September 20, 2013

Notice to Customers of W.H. Stuart Mutuals Ltd.

On September 18, 2013, MFDA Investor Protection Corporation (MFDA IPC) obtained a Bankruptcy Order in respect of W.H. Stuart Mutuals Ltd. (Stuart Mutuals). Ernst and Young Inc. was appointed as Trustee in Bankruptcy. The Trustee has posted the documents pertaining to this filing on its website at: www.ey.com/ca/whstuart

The Trustee will be contacting customers of Stuart Mutuals in the next few weeks. If you have already filed a claim with the MFDA IPC, you do not need to file another claim with the Trustee. Your claim form filed with the MFDA IPC will be utilized by the Trustee as a claim against Stuart Mutuals. If you have a claim and have not yet filed a proof of claim form, please do so using the MFDA IPC Proof of Claim form as soon as possible. Copies of the form are available at www.mfda.ca/ipc/wHStuart.html or you can contact the MFDA IPC at 1-855-788-9052.