

# SCHEDULE B: REGISTRATION INFORMATION



## REGISTRATION INFORMATION

An organization seeking MFDA membership must be registered as a mutual fund dealer with the applicable provincial or territorial securities regulatory authority in the province or territory in which they intend to do business. The securities commissions issue the mutual fund dealer registration. Applications for registration as a mutual fund dealer should be made directly with the registration department of the applicable securities regulatory authorities outlined below.

An application for mutual fund dealer registration can be filed at the same time as an application for membership in the MFDA, but the applicant will not be admitted to membership in the MFDA until the application for mutual fund dealer registration has been approved by the applicable securities regulatory authority.

---

### ALBERTA

Alberta Securities Commission  
250 - 5th Avenue S.W.  
Suite 600  
Calgary, AB, Canada T2P OR4  
Telephone: (403) 297-6454  
1-877-355-0585  
Fax: (403) 297-6156  
Website: [www.albertasecurities.com](http://www.albertasecurities.com)

### BRITISH COLUMBIA

British Columbia Securities Commission  
701 West Georgia Street  
P.O. Box 10142  
Pacific Centre  
Vancouver, BC, Canada V7Y 1L2  
Telephone: (604) 899-6500  
1-800-373-6393 (BC and AB only)  
Fax: (604) 899-6506  
Website: [www.bcsc.bc.ca](http://www.bcsc.bc.ca)

---

### MANITOBA

Manitoba Securities Commission  
500 – 400 St. Mary Avenue  
Winnipeg, MB, Canada R3C 4K5  
Telephone: (204) 945-2548  
Fax: (204) 945-0330  
Website: [www.mbsecurities.ca](http://www.mbsecurities.ca)

### NEW BRUNSWICK

New Brunswick Financial and Consumer  
Services Commission  
85 Charlotte Street  
Suite 300  
Saint John, NB, Canada E2L 2J2  
Telephone: (506) 658-3060  
1-866-933-2222 (NB only)  
Website: [www.investor-info.ca](http://www.investor-info.ca)

---

### NEWFOUNDLAND & LABRADOR

Service NL  
Office of the Superintendent of Securities  
2nd Floor, West Block, Confederation Building  
P.O. Box 8700  
St. John's, NL, Canada A1B 4J6  
Telephone: (709) 729-4189  
Fax: (709) 729-6187  
Website: [www.servicenl.gov.nl.ca/securities/](http://www.servicenl.gov.nl.ca/securities/)

### NORTHWEST TERRITORIES

The Office of the Superintendent of Securities  
Government of the Northwest Territories  
1<sup>st</sup> Floor, Stuart M. Hodgson Building  
5009-49<sup>th</sup> Street  
PO Box 1320  
Yellowknife, NT, Canada X1A 2L9  
Telephone: 1-867-920-3318  
Fax: 1-867-873-0243  
Website:  
[www.justice.gov.nt.ca/en/divisions/legal-registries-division/securities-office](http://www.justice.gov.nt.ca/en/divisions/legal-registries-division/securities-office)

**NOVA SCOTIA**

Nova Scotia Securities Commission  
Ste. 400, Duke Tower  
5251 Duke St.  
Halifax, NS, Canada B3J 1P3  
Telephone: (902) 424-7768  
Fax: (902) 424-4625  
Website: [nssc.novascotia.ca](http://nssc.novascotia.ca)

**Mailing:**

Nova Scotia Securities Commission  
P.O. Box 458  
Halifax, NS, Canada B3J 2P8

**NUNAVUT**

Securities Office  
Department of Justice  
Government of Nunavut  
P.O. Box 1000, Station 570  
Iqaluit, NU, Canada X0A 0H0  
Telephone: 1-867-975-6590  
Fax: 1-867-975-6594  
[www.nunavutlegalregistries.ca/sr\\_index\\_en.shtm](http://www.nunavutlegalregistries.ca/sr_index_en.shtm)

---

**ONTARIO**

Ontario Securities Commission  
20 Queen Street West  
20<sup>th</sup> Floor  
Toronto, ON, Canada M5H 3S8  
Telephone: (416) 593-8314  
1-877-785-1555  
Fax: 416-593-8122  
Website: [www.osc.gov.on.ca](http://www.osc.gov.on.ca)

**PRINCE EDWARD ISLAND**

PEI Office of the Superintendent of Securities  
Consumer, Corporate and Insurance Services  
Division  
95 Rochford Street, 4<sup>th</sup> Floor, Shaw Building  
P.O. Box 2000  
Charlottetown, PEI, Canada C1A 7N8  
Telephone: (902) 368-4569  
Fax: (902) 368-5283  
Website: [www.gov.pe.ca/securities](http://www.gov.pe.ca/securities)

---

**QUEBEC**

**Quebec City**

Autorité des marchés financiers  
Place de la Cité, tour Cominar  
2640, boulevard Laurier, bureau 400  
Quebec, QC G1V 5C1  
Telephone: (418) 525-0337  
1-877-525-0337  
Fax: (418) 525-9512  
Website: [www.lautorite.qc.ca](http://www.lautorite.qc.ca)

**SASKATCHEWAN**

Financial and Consumer Affairs Authority of  
Saskatchewan  
1919 Saskatchewan Drive, 6<sup>th</sup> Floor  
Regina, SK, Canada S4P 3V7  
Telephone: (306) 787-5645  
Fax: (306) 787-5899  
Website: [www.fcaa.gov.sk.ca](http://www.fcaa.gov.sk.ca)

Please consult the website if you wish to do business in person at the Autorité's Québec City locations as they may be different than shown above.

**Montreal**

Autorité des marchés financiers  
800, Square Victoria, 22<sup>e</sup> étage  
C.P. 246, tour de la Bourse  
Montréal, QC H4Z 1G3  
Telephone: (514) 395-0337  
1-877-525-0337  
Fax: (514) 873-3090  
Website: [www.lautorite.qc.ca](http://www.lautorite.qc.ca)

**YUKON**

Office of the Yukon Superintendent of Securities  
Government of Yukon  
307 Black Street, 1st Floor  
Whitehorse, YK Y1A 2N1  
Telephone: (867) 667-5466  
1-800-661-0408 local 5466 (Yukon only)  
Fax: 1-867- 393-6251  
Website: [www.community.gov.yk.ca/corp/securities\\_about.html](http://www.community.gov.yk.ca/corp/securities_about.html)

Mailing:  
P.O. Box 2703 (C-6)  
Whitehorse, YK, Canada Y1A 2C6

---