



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

Re: Murray Arnold Greenberg and Katrina Anne Powell

ORDER

WHEREAS on July 2, 2013, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of MFDA By-law No. 1 in respect of a disciplinary proceeding commenced against Murray Arnold Greenberg (“Greenberg”) and Katrina Anne Powell (“Powell”);

AND WHEREAS on September 17, 2013, a first appearance in this proceeding took place by teleconference before a Hearing Panel of the Prairie Regional Council of the MFDA (the “Hearing Panel”);

AND WHEREAS on September 17, 2013, Powell advised Staff of the MFDA and the Hearing Panel that she did not intend to participate in the first appearance;

AND WHEREAS Staff of the MFDA and Greenberg made submissions to the Hearing Panel at the first appearance with respect to scheduling and other procedural matters;

IT IS HEREBY ORDERED THAT:

1. The hearing of this matter on its merits shall take place before the Hearing Panel on February 25-26, 2014, commencing at 10:00 a.m. (Mountain) or as soon thereafter as the matter can be heard, at a venue to be announced in Calgary, Alberta.

DATED this 17th day of September, 2013.

“John D. James”

John D. James,
Chair

“M. Elaine Bradley”

M. Elaine Bradley,
Industry Representative

“Daniele Ayers”

Daniele Ayers,
Industry Representative

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