



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

**Re: William Morris Adams, Bradley Gerard Crompton, Michelle Ann
Crompton, William Craig Henderson and Ian Omar Webster**

ORDER

WHEREAS on December 20, 2012, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of MFDA By-law No. 1 in respect of a disciplinary proceeding commenced against Bradley Gerard Crompton;

AND WHEREAS on October 18, 2013 the MFDA issued a Notice of Hearing pursuant to sections 20 and 24 of MFDA By-law No. 1 in respect of a disciplinary proceeding commenced against William Morris Adams, Michelle Ann Crompton, William Craig Henderson and Ian Omar Webster;

AND WHEREAS on January 6, 2014, an appearance in both proceedings took place by teleconference before a Hearing Panel of the Central Regional Council of the MFDA (the “Hearing Panel”);

AND WHEREAS Staff of the MFDA, counsel for the Respondents and the Respondents

made submissions to the Hearing Panel at the appearance with respect to scheduling and other procedural matters;

IT IS HEREBY ORDERED THAT:

1. The Respondents Reply or amendment to the Reply must be served and filed by January 20, 2014;
2. The Respondents shall disclose additional documents and items to Staff by March 10, 2014; and
3. A further appearance by teleconference shall take place before the Hearing Panel on March 27, 2014 commencing at 9:00 a.m. (Eastern), or as soon thereafter as the matter can be heard.

DATED this 6th day of January, 2014.

“Mark J. Sandler”

Mark J. Sandler,
Chair

“Nick Pallotta”

Nick Pallotta,
Industry Representative

“Selwyn Kossuth”

Selwyn Kossuth,
Industry Representative