



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

Re: Blair Addison

ORDER

WHEREAS on August 20, 2013, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of By-law No. 1 in respect of a disciplinary proceeding commenced against Blair Addison (the “Respondent”);

AND WHEREAS the first appearance in this hearing was held before a hearing panel of the Central Regional Council of the MFDA (the “Hearing Panel”) on October 23, 2013;

AND WHEREAS the hearing on the merits for this matter was set for 10:00 a.m. (Eastern) on January 28, 2014 in the MFDA hearing room located at 121 King Street West, Suite 1000, Toronto, Ontario;

AND WHEREAS on January 27, 2014, the Respondent requested an adjournment of the hearing on the merits, which was granted by the Hearing Panel;

AND WHEREAS the parties attended before the Hearing Panel, by teleconference, on January 28, 2014 to discuss procedural matters;

IT IS HEREBY ORDERED THAT:

1. The Respondent shall file a Reply to the allegations in the Notice of Hearing and provide it to Staff no later than February 10, 2014;
2. The parties shall exchange witness lists and witness statements no later than February 14, 2014;
3. The Respondent shall provide disclosure to Staff no later than February 17, 2014;
4. The hearing of this matter on its merits has been scheduled to take place on February 28, 2014, commencing at 10:00 a.m. (Eastern) in the MFDA hearing room, located at 121 King Street West, Toronto, Ontario; and
5. If at any time a non-party to this proceeding requests production of, or access to, any materials filed in, or the record of, this proceeding, including all exhibits and transcripts, then the MFDA Corporate Secretary shall not provide copies of, or access to, the requested documents to the non-party without first redacting from them any and all intimate financial or personal information, pursuant to Rules 1.8(2) and (5) of the MFDA *Rules of Procedure*.

DATED this 28th day of January, 2014.

“Terrance A. Sweeney”

Terrance A. Sweeney,
Chair

“Janet Himmeroder”

Janet Himmeroder,
Industry Representative

“David W. Kerr”

David W. Kerr,
Industry Representative

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