



**Mutual Fund Dealers Association of Canada**  
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING  
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF  
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

**Re: Edward Jonathan Okopny**

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**ORDER**

(ARISING FROM APPEARANCE ON NOVEMBER 30, 2015)

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**WHEREAS** on April 23, 2015, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of By-law No. 1 in respect of a disciplinary proceeding commenced against Edward Jonathan Okopny (the “Respondent”);

**AND WHEREAS** on June 10, 2015, the first appearance in this proceeding was held by teleconference before one public representative of a Regional Council acting on behalf of a hearing panel of the Central Regional Council of the MFDA (the “Hearing Panel”), pursuant to section 19.13(b) of MFDA By-law No. 1;

**AND WHEREAS** at the first appearance, the Hearing Panel ordered, among other things, that the hearing of this matter on its merits shall take place on November 30, 2015, commencing at 10:00 a.m. (Eastern), or as soon thereafter as the matter can be heard;

**AND WHEREAS** on November 24, 2015 the Respondent contacted Staff and requested an adjournment of the hearing on the merits;

**AND WHEREAS** on November 25, 2015, Staff informed the Hearing Panel of the Respondent's adjournment request, which was unopposed by Staff;

**AND WHEREAS** the Hearing Panel granted the adjournment and directed that a second appearance take place by teleconference on November 30, 2015, commencing at 10:00 a.m. (Eastern) in order to set a new date for the hearing on the merits;

**AND WHEREAS** the Respondent and counsel for Staff attended the second appearance by teleconference and made submissions to the Hearing Panel with respect to scheduling and other procedural matters;

**IT IS HEREBY ORDERED THAT:**

1. The Respondent shall serve and file a Reply to the Notice of Hearing on or before December 19, 2015; and
2. The hearing of this matter on its merits shall take place before the Hearing Panel in the hearing room located at 121 King Street West, Suite 1000, Toronto, Ontario on February 29, 2016 and March 1, 2016 inclusive, commencing daily at 10:00 a.m. (Eastern), or as soon thereafter as the matter can be heard.

**DATED** this 30<sup>th</sup> day of November, 2015.

**"W.A. Derry Millar"**

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W.A. Derry Millar  
Chair