



**Mutual Fund Dealers Association of Canada**  
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING  
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF  
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

**Re: Barry L. Adams**

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**ORDER**

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**WHEREAS** on April 6, 2009, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of MFDA By-law No. 1 in respect of a disciplinary proceeding commenced against Barry L. Adams (the “Respondent”);

**AND WHEREAS** the first appearance in this proceeding took place by teleconference before a hearing panel of the Atlantic Regional Council of the MFDA (the “Hearing Panel”) on Friday, June 12, 2009;

**AND WHEREAS** the Respondent and Staff of the MFDA made submissions to the Hearing Panel at the first appearance with respect to scheduling and other procedural matters;

**IT IS HEREBY ORDERED THAT:**

1. MFDA Staff shall provide documentary disclosure to the Respondent in accordance with Rule 10 of the MFDA Rules of Procedure on or before Friday, July 31, 2009;

2. The Respondent shall provide documentary disclosure to MFDA Staff on or before Friday, August 14, 2009; and

3. The hearing of this matter on its merits shall take place before the Hearing Panel on Thursday, October 29, 2009 at 10:00 a.m. (Atlantic) in Saint John, New Brunswick or as soon thereafter as the hearing can be held.

**DATED** this 15<sup>th</sup> day of June, 2009.

Per: “Thomas J. Lockwood”  
Thomas J. Lockwood, Q.C., Chair

Per: “David Losier”  
David Losier, Industry Representative

Per: “Scott Kay”  
Scott Kay, Industry Representative