



**Mutual Fund Dealers Association of Canada**  
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING  
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1  
OF THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

**Re: Ben Alden Kaley**

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**ORDER**

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**WHEREAS** on June 24, 2009, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of MFDA By-law No. 1 in respect of a disciplinary proceeding commenced against Ben Alden Kaley (the “Respondent”);

**AND WHEREAS** the first appearance in this proceeding took place by teleconference before a hearing panel of the Atlantic Regional Council of the MFDA (the “Hearing Panel”) on Tuesday, August 18, 2009;

**AND WHEREAS** the Respondent was unavailable to attend the teleconference, but provided his consent to Staff of the MFDA to set a date after October 15, 2009 for the hearing of this matter on its merits;

**IT IS HEREBY ORDERED THAT:**

1. Staff of the MFDA shall provide documentary disclosure to the Respondent in accordance with Rule 10 of the MFDA Rules of Procedure on or before Friday, October 9, 2009;

2. The Respondent shall file a Reply, if any, to the Notice of Hearing on or before Monday, November 9, 2009; and

3. The hearing of this matter on its merits shall take place before the Hearing Panel on Thursday, December 3, 2009 at 10:00 a.m. (Atlantic).

**DATED** this 18<sup>th</sup> day of August, 2009.

Per: “Thomas J. Lockwood”  
Thomas J. Lockwood, Q.C., Chair

Per: “Ann Etter”  
Ann Etter, Industry Representative

Per: “Remy Richard”  
Remy Richard, Industry Representative