



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

Re: Pan Chen

ORDER

WHEREAS on June 29, 2010, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to section 20.1 of MFDA By-law No. 1 in respect of Pan Chen (the “Respondent”);

AND WHEREAS on August 5, 2010, the Respondent served and filed a Reply to the Notice of Hearing;

AND WHEREAS on August 6, 2010, a first appearance in this proceeding took place by teleconference before a hearing panel of the MFDA’s Pacific Regional Council (the “Hearing Panel”);

AND WHEREAS the Respondent was represented by counsel at the first appearance;

AND WHEREAS Staff of the MFDA and counsel for the Respondent made submissions to the Hearing Panel at the first appearance with respect to scheduling and other procedural matters;

IT IS HEREBY ORDERED THAT the hearing of this matter on its merits shall be held on November 8-12, 2010 at a location to be determined in Vancouver, British Columbia, commencing at 10:00 a.m. (Pacific), or as soon thereafter as the matter can be heard.

DATED this 6th day of August, 2010.

“Roger P. Kerans”

The Hon. Roger P. Kerans, FCIArb, CARb,
Chair

“Darlene Thomas”

Darlene Thomas,
Industry Representative

“Susan Schulze”

Susan Schulze,
Industry Representative