



**Mutual Fund Dealers Association of Canada**  
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING  
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF  
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

**Re: Thomas G. Arseneau**

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**ORDER**

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**WHEREAS** on December 22, 2011, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to section 20.1 of By-law No. 1 in respect of Thomas G. Arseneau (the “Respondent”);

**AND WHEREAS** on February 17, 2012, a first appearance in this proceeding took place by teleconference before a hearing panel of the Atlantic Regional Council of the MFDA (the “Hearing Panel”);

**AND WHEREAS** the Respondent did not attend the first appearance;

**AND WHEREAS** Staff of the MFDA made submissions to the Hearing Panel at the first appearance with respect to scheduling, the status of service of the Notice of Hearing upon the Respondent, and other procedural matters;

**IT IS HEREBY ORDERED THAT:**

1. An interim appearance in this matter shall be conducted by teleconference on March 9, 2012 commencing at 10:00 a.m. (Atlantic), or as soon thereafter as the matter can be heard; and
2. The hearing of this matter on its merits shall be held on June 26-28, 2012 at a venue to be determined in Fredericton, New Brunswick commencing at 10:00 a.m. (Atlantic) each day, or as soon thereafter as the matter can be held.

**DATED** this 17<sup>th</sup> day of February, 2012.

“Merlin Nunn”

The Hon. D. Merlin Nunn, Q.C.,  
Chair

“Jason Downey”

Jason P. Downey,  
Industry Representative

“David Losier”

David Losier,  
Industry Representative