



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

Re: Tony Siu Fai Tong

ORDER

WHEREAS on January 21, 2013, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of MFDA By-law No. 1 in respect of a disciplinary proceeding commenced against Tony Siu Fai Tong (the “Respondent”);

AND WHEREAS on January 30, 2013, the Notice of Hearing was personally served on the Respondent as shown by the Affidavit of Andrew T. Gray and the exhibits attached thereto;

AND WHEREAS on March 20, 2013, a first appearance in this proceeding took place by teleconference before a hearing panel of the Prairie Regional Council of the MFDA (the “Hearing Panel”);

AND WHEREAS Staff of the MFDA and the Respondent attended the first appearance by teleconference and made submissions to the Hearing Panel with respect to scheduling and other procedural matters;

IT IS HEREBY ORDERED THAT the hearing of this matter on its merits shall take place before the Hearing Panel on May 24, 2013 commencing at 10:00 a.m. (Mountain), or as soon thereafter as the hearing can be held, in the MFDA hearing room located at Suite 850, 800-6th Avenue S.W., Calgary, Alberta.

DATED this 20th day of March, 2013.

“Alan V. M. Beattie”

Alan V. M. Beattie, Q.C.,
Chair

“Kathleen Jost”

Kathleen Jost,
Industry Representative