



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

Re: Ricardo John Cavalli

ORDER

WHEREAS on March 1, 2013, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of By-law No. 1 in respect of a disciplinary proceeding commenced against Ricardo John Cavalli (the “Respondent”);

AND WHEREAS the first appearance in this hearing was held before a hearing panel of the Prairie Regional Council of the MFDA (the “Hearing Panel”) on April 18, 2013;

1. The hearing of this matter on its merits shall be held on October 2, 2013, commencing at 10:00 a.m. (Mountain) or as soon thereafter as the matter can be heard, at a venue to be announced; and
2. The parties shall reconvene by teleconference on September 16, 2013 at 10:00 a.m. (Mountain) to make submissions to the Hearing Panel with respect to procedural matters.

DATED this 18th day of April, 2013.

“Shelley Miller”

Shelley Miller,
Chair

“Patricia Kloepfer”

Patricia Kloepfer,
Industry Representative

“Marc Albert”

Marc Albert,
Industry Representative

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