



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

Re: Lodovico Angelo Cavan

ORDER

WHEREAS on June 5, 2013, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of MFDA By-law No. 1 in respect of a disciplinary proceeding commenced against Lodovico Angelo Cavan (the “Respondent”);

AND WHEREAS the Respondent was served with a copy of the Notice of Hearing on June 11, 2013 in accordance with Rule 4.2(1)(b) of the MFDA Rules of Procedure;

AND WHEREAS the first appearance in this matter took place before a hearing panel of the Central Regional Council of the MFDA (the “Hearing Panel”) on August 8, 2013;

AND WHEREAS Staff of the MFDA and a representative of the Respondent made submissions to the Hearing Panel at the first appearance with respect to scheduling the hearing of this matter on its merits;

IT IS HEREBY ORDERED THAT the hearing of this matter on its merits shall take place before the Hearing Panel on November 21 and 22, 2013 commencing at 10:00 a.m. (Eastern) each day, or as soon thereafter as the matter can be heard, in the MFDA hearing room located at 121 King Street West, Suite 1000, Toronto, Ontario.

DATED this 8th day of August, 2013.

“Stanley Kurisko”

The Hon. Stanley Kurisko, Q.C.,
Chair

“Susan Schulze”

Susan Schulze,
Industry Representative

“Robert J. Guilday”

Robert J. Guilday,
Industry Representative

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