



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

Re: Reginald Jens Roskaft

ORDER

WHEREAS on June 4, 2013, the Mutual Fund Dealers Association of Canada (“MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of By-law No. 1 in respect of a disciplinary proceeding commenced against Reginald Jens Roskaft (“Respondent”);

AND WHEREAS the first appearance in this hearing was held before a hearing panel of the Central Regional Council of the MFDA (“Hearing Panel”) on August 19, 2013;

AND WHEREAS the Respondent did not attend at the first appearance and has not filed a Reply;

AND UPON hearing the submissions of Staff of the MFDA with respect to personal service of the Notice of Hearing on the Respondent;

AND UPON hearing the submissions of Staff of the MFDA with respect to setting a date for a further appearance herein;

IT IS HEREBY ORDERED THAT:

1. The Respondent has been appropriately served with the Notice of Hearing in accordance with Rule 4.2(1)(a) of the MFDA Rules of Procedure; and
2. A further appearance shall be held via teleconference on November 19, 2013 at 10:00 a.m. (Eastern), or as soon thereafter as the matter can be heard.

DATED this 19th day of August, 2013.

“Frederick H. Webber”

Frederick H. Webber,
Chair

“T. Hugh McNabney”

T. Hugh McNabney,
Industry Representative

“Robert C. White”

Robert C. White,
Industry Representative