



**Mutual Fund Dealers Association of Canada**  
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING  
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF  
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

**Re: Robert William Marshall**

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**ORDER**

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**WHEREAS** on October 16, 2014, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of MFDA Bylaw No. 1 in respect of a disciplinary proceeding commenced against Robert William Marshall (the “Respondent”);

**AND WHEREAS** the first appearance in this proceeding was scheduled to take place on December 1, 2014 and was rescheduled to December 12, 2014;

**AND WHEREAS** the first appearance took place on December 12, 2014 via teleconference before one public representative of a Regional Council acting on behalf of a Hearing Panel of the Central Regional Council of the MFDA (the “Hearing Panel”), pursuant to section 19.13(b) of MFDA Bylaw No. 1;

**AND WHEREAS** the Respondent filed a Reply on November 10, 2014;

**AND UPON** hearing the submissions of counsel for the Respondent and counsel for Staff of the MFDA (“Staff”) at the first appearance with respect to various matters including service of the Notice of Hearing on the Respondent, and proposed dates for the Hearing on the Merits;

**IT IS HEREBY ORDERED THAT:**

1. The hearing of this matter on its merits is scheduled to proceed on January 14, 2015, commencing at 9:30 a.m. (Eastern), or as soon thereafter as the hearing can be held, in the hearing room located at 121 King Street West, Suite 1000, Toronto, Ontario.

**DATED** this 12<sup>th</sup> day of December, 2014.

“Mark J. Sandler”

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Mark J. Sandler

Chair

DM 381484 v2