



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

Re: Abner Sarabia Hufanda

ORDER

WHEREAS on March 9, 2015, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of By-law No. 1 in respect of a disciplinary proceeding commenced against Abner Sarabia Hufanda (the “Respondent”);

AND WHEREAS the Notice of Hearing was served on the Respondent by courier on March 16, 2015;

AND WHEREAS the first appearance in this proceeding took place by teleconference before the Chair of a hearing panel of the Pacific Regional Council of the MFDA (the “Hearing Panel”) on April 23, 2015 at 10:00 a.m. (Pacific) in accordance with s. 19.13(b) of MFDA By-law No. 1;

AND WHEREAS counsel for Staff of the MFDA (“Staff”) and the Respondent agreed on a schedule prior to the first appearance and made submissions to the Chair of the Hearing Panel at the first appearance with respect to scheduling and other procedural matters;

IT IS HEREBY ORDERED THAT:

1. Staff shall provide pre-hearing disclosure, including copies of all documents and a list of all items other than documents that Staff intends to rely on at the hearing of this matter on its merits, by no later than July 1, 2015;
2. The Respondent shall provide pre-hearing disclosure, including copies of all documents and a list of all items other than documents that the Respondent intends to rely on at the hearing of this matter on its merits, by no later than July 31, 2015;
3. No motion shall be brought by either Staff or the Respondent after August 14, 2015;
4. Staff and the Respondent shall provide each other with witness lists and a summary of the evidence that each witness is expected to give at the hearing of this matter on the merits by no later than August 28, 2015; and;
5. Subject to any further order of the Hearing Panel, the hearing of this matter on its merits shall take place before the Hearing Panel in Vancouver, British Columbia, at a venue to be determined, on September 10, 2015 and September 11, 2015, commencing at 10:00 a.m. (Pacific) each day, or as soon thereafter as the matter can be heard.

DATED this 23rd day of April, 2015.

“Bradley Doney”

Bradley Doney
Chair