



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

Re: Patrick Pasquale Caicco

ORDER

WHEREAS on March 6, 2015, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of By-law No. 1 in respect of a disciplinary proceeding commenced against Patrick Pasquale Caicco (the “Respondent”);

AND WHEREAS on April 14, 2015, the Respondent served a Reply to the Notice of Hearing on counsel for Staff of the MFDA (“Staff”);

AND WHEREAS on April 23, 2015, the first appearance in this proceeding was held by teleconference before one public representative of a Regional Council acting on behalf of a hearing panel of the Central Regional Council of the MFDA (the “Hearing Panel”), pursuant to section 19.13(b) of MFDA By-law No. 1;

AND WHEREAS the Respondent and counsel for Staff attended the first appearance by teleconference and made submissions to the Hearing Panel with respect to scheduling and other procedural matters;

IT IS HEREBY ORDERED THAT:

1. the hearing of this matter on its merits shall take place before the Hearing Panel in the hearing room at the MFDA offices, located at 121 King Street West, Suite 1000, Toronto, Ontario on Wednesday, July 8, 2015, commencing at 10:00 a.m. (Eastern), or as soon thereafter as the matter can be heard.

DATED this 23rd day of April, 2015.

“Martin L. Friedland”

Martin L. Friedland, Q.C.
Chair

DM 425994 v2