



**Mutual Fund Dealers Association of Canada**  
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING  
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF  
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

**Re: Charles Albert Martin**

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**ORDER**

(ARISING FROM APPEARANCE ON MAY 24, 2016)

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**WHEREAS** on March 21, 2016, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of MFDA By-law No. 1 (the “By-law”) in respect of a disciplinary proceeding commenced against Charles Albert Martin (the “Respondent”);

**AND WHEREAS** on May 24, 2016, the first appearance in this proceeding was held before one public representative of a Regional Council acting as Chair on behalf of a hearing panel of the Central Regional Council of the MFDA (the “Hearing Panel”), pursuant to s. 19.13 of MFDA By-Law No. 1;

**AND WHEREAS** at the first appearance, Staff made submissions to the Chair of the Hearing Panel with respect to scheduling and other procedural matters;

**IT IS HEREBY ORDERED THAT:**

1. An interim appearance in this matter shall take place on July 25, 2016 by teleconference commencing at 10:00 a.m. (Eastern), or as soon thereafter as the appearance can be held;
2. The hearing on the merits shall take place on October 11-12, 2016, commencing at 9:30 a.m. (Eastern) each day, or as soon thereafter as the hearing can be held, in the hearing room located at the MFDA offices, 121 King Street West, Suite 1000, Toronto, Ontario.

**DATED** this 24<sup>th</sup> day of May, 2016.

“Mark J. Sandler”

Mark J. Sandler  
Chair

DM 492648 v1