



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

Re: Bradley Gerard Crompton

ORDER

WHEREAS on December 20, 2012, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of MFDA By-law No. 1 in respect of a disciplinary proceeding commenced against Bradley Gerard Crompton;

AND WHEREAS on August 29, 2013, an appearance in this proceeding took place by teleconference before a hearing panel of the Central Regional Council of the MFDA (the “Hearing Panel”);

AND WHEREAS Staff of the MFDA and counsel for the Respondent made submissions to the Hearing Panel at the appearance with respect to scheduling and other procedural matters;

IT IS HEREBY ORDERED THAT a further appearance by teleconference shall take place before the Hearing Panel on October 17, 2013 commencing at 10:00 a.m. (Eastern), or as soon thereafter as the matter can be heard.

DATED this 29th day of August, 2013.

“Mark J. Sandler”

Mark J. Sandler,
Chair

“Nick Pallotta”

Nick Pallotta,
Industry Representative

“Selwyn Kossuth”

Selwyn Kossuth,
Industry Representative

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