



NEWS RELEASE

For immediate release

For further information, please contact:

Marco Wynnycky
Manager, Hearings Administration
416-945-5146
mwynnycky@mfda.ca

MFDA Hearing Panel confirms next appearance in the matter of W. H. Stuart Mutuals Ltd., Marilyn Dianne Stuart and Walter Howard Stuart

March 12, 2015 (Toronto, Ontario) – The Mutual Fund Dealers Association of Canada (“MFDA”) commenced a disciplinary proceeding in respect of W. H. Stuart Mutuals Ltd. (“W. H. Stuart”), Marilyn Dianne Stuart (“Dianne Stuart”) and Walter Howard Stuart (“Howard Stuart”) (collectively, the “Respondents”) by Notice of Hearing (the “Notice of Hearing”) dated November 27, 2014.

An appearance in this proceeding took place yesterday by teleconference before a Hearing Panel of the MFDA’s Central Regional Council.

Following submissions by the parties with respect to scheduling, the Hearing Panel confirmed the next appearance in this matter shall take place by teleconference on June 24, 2015 at 9:00 a.m. (Eastern), or as soon thereafter as the matter can be heard, for the purpose of addressing procedural matters including the scheduling of the hearing on the merits. The appearance will be open to the public, except as may be required for the protection of confidential matters.

A copy of the [Notice of Hearing](#) is available on the MFDA website at www.mfda.ca. During the period described in the Notice of Hearing, the Respondent’s Head Office was located in Markham, Ontario.

The MFDA is the self-regulatory organization for Canadian mutual fund dealers, regulating the operations, standards of practice and business conduct of its 107 Members and their approximately 80,000 Approved Persons with a mandate to protect investors and the public interest. For more information about the MFDA’s complaint and enforcement processes, as well as links to ‘Check an Advisor’ and other Investor Tools, visit the [For Investors](#) page on the MFDA website.

- 30 -