



## **NEWS RELEASE**

*For immediate release*

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## **MFDA issues Notice of Settlement Hearing in respect of Adam Aleshka**

**October 15, 2015** (Toronto, Ontario) – The Mutual Fund Dealers Association of Canada (“MFDA”) today announced that it has issued a Notice of Settlement Hearing regarding the presentation, review and consideration of a proposed settlement agreement by a Hearing Panel of the MFDA’s Prairie Regional Council.

The settlement agreement will be between Staff of the MFDA and Adam Alexander Aleshka (the “Respondent”) and involves matters for which the Respondent may be disciplined by a Hearing Panel pursuant to MFDA By-laws. The proposed settlement agreement concerns allegations that the Respondent, between February 20, 2012 and February 16, 2014, obtained and used 29 pre-signed partially completed or photocopied versions of pre-signed partially completed forms in respect of 14 client accounts, contrary to MFDA Rule 2.1.1(c).

The settlement hearing is scheduled to take place on October 27, 2015, commencing at 10:00 a.m. (Central), or as soon thereafter as the matter can be held, in the hearing room at the Manitoba Securities Commission, located at 500-400 St. Mary Avenue, Winnipeg, Manitoba. The hearing will be open to the public, unless and to the extent that the Hearing Panel determines that all or part of the hearing should proceed in the absence of the public. If the Hearing Panel accepts the settlement agreement, then a copy of the decision of the Hearing Panel and the settlement agreement will be made available to the public.

A copy of the [Notice of Settlement Hearing](#) is available on the MFDA website at [www.mfdca.ca](http://www.mfdca.ca). During the period described in the Notice of Settlement Hearing, the Respondent conducted business in Winnipeg, Manitoba.

The MFDA is the self-regulatory organization for Canadian mutual fund dealers, regulating the operations, standards of practice and business conduct of its 102 Members and their over 80,000 Approved Persons with a mandate to protect investors and the public interest. For more information about the MFDA’s complaint and enforcement processes, as well as links to ‘Check an Advisor’ and other Investor Tools, visit the [For Investors](#) page on the MFDA website.