



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

NEWS RELEASE

For immediate release

For further information, please contact:

Marco Wynnycky
Hearings Coordinator
416-945-5146
mwynnycky@mfd.ca

MFDA schedules next appearance in the matter of Bradley Crompton

December 6, 2013 (Toronto, Ontario) – The Mutual Fund Dealers Association of Canada (“MFDA”) commenced a disciplinary proceeding in respect of Bradley Gerard Crompton by Notice of Hearing dated December 20, 2012.

An appearance in this proceeding took place today before a three-member Hearing Panel of the MFDA’s Central Regional Council.

Following submissions by the parties respecting scheduling and other procedural matters, the Hearing Panel directed that the next appearance in this matter will take place by teleconference on January 6, 2014 commencing at 9:00 a.m. (Eastern) and will be open to the public, except as may be required for the protection of confidential matters.

A copy of the [Notice of Hearing](#) is available on the MFDA website at www.mfda.ca.

The MFDA is the self-regulatory organization for Canadian mutual fund dealers, regulating the operations, standards of practice and business conduct of its 113 Members and their approximately 80,000 Approved Persons with a mandate to protect investors and the public interest. For more information about the MFDA’s complaint and enforcement processes, as well as links to ‘Check an Advisor’ and other Investor Tools, visit the [For Investors](#) page on the MFDA website.

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