



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

NEWS RELEASE

For immediate release

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MFDA Hearing Panel reserves judgment in the matter of Carla-Marie Aksomitis

January 20, 2016 (Toronto, Ontario) – The Mutual Fund Dealers Association of Canada (“MFDA”) commenced a disciplinary proceeding in respect of Carla-Marie Aksomitis (the “Respondent”) by Notice of Hearing (the “Notice of Hearing”) dated August 7, 2015.

The hearing of this matter on its merits took place yesterday in Halifax, Nova Scotia before a three-member Hearing Panel of the MFDA’s Atlantic Regional Council. After hearing the submissions from Staff of the MFDA, the Hearing Panel reserved its decision and advised that it will issue its decision and written reasons in due course.

A copy of the [Notice of Hearing](#) is available on the MFDA website at www.mfda.ca. During the period described in the Notice of Hearing, the Respondent conducted business in Musquodoboit Harbour, Nova Scotia.

The MFDA is the self-regulatory organization for Canadian mutual fund dealers, regulating the operations, standards of practice and business conduct of its 101 Members and their approximately 83,000 Approved Persons with a mandate to protect investors and the public interest. For more information about the MFDA’s complaint and enforcement processes, as well as links to ‘Check an Advisor’ and other Investor Tools, visit the [For Investors](#) page on the MFDA website.

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