



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

NEWS RELEASE

For immediate release

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MFDA announces venue for settlement hearing in the matter of Dong Hwan (Jack) Lee

February 8, 2016 (Toronto, Ontario) – The Mutual Fund Dealers Association of Canada (“MFDA”) commenced a disciplinary proceeding in respect of Dong Hwan (Jack) Lee (the “Respondent”) by Notice of Settlement Hearing (the “Notice of Settlement Hearing”) dated September 4, 2015.

The settlement hearing in this matter is scheduled to proceed on February 12, 2016, commencing at 10:00 a.m. (Atlantic), or as soon thereafter as the matter can be heard, at the Delta Beausejour, located at 750 Main Street, Moncton, New Brunswick. The proceeding will be open to the public, unless and to the extent that the Hearing Panel determines that all or part of the settlement hearing should proceed in the absence of the public.

A copy of the [Notice of Settlement Hearing](#) is available on the MFDA website at www.mfda.ca. During the period described in the Notice of Settlement Hearing, the Respondent conducted business in the Riverview, New Brunswick area.

The MFDA is the self-regulatory organization for Canadian mutual fund dealers, regulating the operations, standards of practice and business conduct of its 99 Members and their approximately 83,000 Approved Persons with a mandate to protect investors and the public interest. For more information about the MFDA’s complaint and enforcement processes, as well as links to ‘Check an Advisor’ and other Investor Tools, visit the [For Investors](#) page on the MFDA website.

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