



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A SETTLEMENT HEARING
PURSUANT TO SECTION 24.4 OF BY-LAW NO. 1 OF
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

Re: Jacqueline Ann Meunier and Lori Gay Bandola

NOTICE OF SETTLEMENT HEARING

NOTICE is hereby given that a hearing will be held before a hearing panel of the Prairie Regional Council (the “Hearing Panel”) of the Mutual Fund Dealers Association of Canada (the “MFDA”) in the hearing room at Delta Edmonton Centre Suite Hotel, located at, 10222 – 102 Street, Edmonton, Alberta on November 8, 2016 at 10:00 a.m. (Mountain), or as soon thereafter as the matter can be heard, to consider whether, pursuant to section 24.4 of By-law No. 1 of the MFDA, the Hearing Panel should accept the settlement agreement (the “Settlement Agreement”) entered into between Staff of the MFDA and Jacqueline Ann Meunier (“Meunier”) and Lori Gay Bandola (“Bandola”).

The subject matter of the proposed Settlement Agreement concerns matters for which the Respondent may be disciplined as an Approved Person of the MFDA, pursuant to ss. 20 and 24.1.1 of By-law No. 1 of the MFDA.

PARTICULARS

1. The proposed settlement agreement concerns allegations that:
 - a) on or about October 19, 2011, Meunier altered and used to process a transaction, one (1) client account form by altering the information on the account form without having the client initial the alteration, contrary to MFDA Rule 2.1.1;
 - b) between May 8, 2012 and September 2, 2014, Meunier or her assistant for whom she was responsible, obtained, possessed and used to process transactions, 28 pre-signed account forms in respect of 19 clients, contrary to MFDA Rule 2.1.1; and
 - c) between February 3, 2014 and November 18, 2014, Bandola obtained, possessed and used to process transactions, 17 pre-signed account forms in respect of ten (10) clients, contrary to MFDA Rule 2.1.1.

2. The hearing is open to the public, unless and to the extent that the Hearing Panel determines that all or part of the hearing should proceed in the absence of the public. If the Hearing Panel accepts the Settlement Agreement, then copies of the decision of the Hearing Panel and the Settlement Agreement will be made available to the public.

DATED this 2nd day of August, 2016.

“Sarah Rickard”

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