



Reply
File No.

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1
OF THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

Re:

REPLY

ADMISSIONS

(the “Respondent”) admits the facts alleged and conclusions drawn by Staff of the Mutual Fund Dealers Association of Canada (“Staff”) in paragraphs _____ of the Notice of Hearing, except as otherwise noted below:

1. State any exceptions or qualifications in consecutively numbered paragraphs

DENIALS

The Respondent denies the facts alleged and conclusions drawn by Staff contained in paragraphs _____ of the Notice of Hearing, except as otherwise noted below:

1. State any exceptions or qualifications in consecutively numbered paragraphs

NO KNOWLEDGE

The Respondent has no knowledge of the facts alleged and conclusions drawn by Staff in paragraphs
of the Notice of Hearing, except as otherwise noted below:

- 1. State any exceptions or qualifications in consecutively numbered paragraphs

ADDITIONAL FACTS AND CONCLUSIONS

The Respondent intends to rely on the following additional facts and conclusions at the hearing:

- 1. State additional facts and conclusions in consecutively numbered paragraphs, including reference to any supporting documents where applicable.

DATED at this day of , 200 .

Telephone:

Fax:

Email:

TO:

Mutual Fund Dealers Association of Canada
121 King St. West
Suite 1000
Toronto, Ontario
M5H 3T9

Attn:

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