



NEWS RELEASE

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MFDA schedule dates for Hearing on the Merits in the matter of Charles Hogg

November 24, 2022 (Toronto, Ontario) – The Mutual Fund Dealers Association of Canada (“MFDA”) commenced a disciplinary proceeding in respect of Charles Leigh Hogg (the “Respondent”) by Notice of Hearing dated September 6, 2022 (the “Notice of Hearing”).

As specified in the Notice of Hearing, the first appearance in this proceeding was held today by videoconference before a Hearing Panel of the MFDA’s Central Regional Council.

Following submissions by the parties, the hearing of this matter on its merits has been scheduled to take place electronically by videoconference from May 30 to June 2, 2023, commencing at 10:00 a.m. (Eastern), each day, or as soon thereafter as the matter can be held. The Hearing Panel also scheduled an interim appearance on May 9, 2023, commencing at 10:00 a.m. (Eastern). The proceeding will be open to the public, except as may be required for the protection of confidential matters. Members of the public who would like to participate should contact hearings@mfda.ca to obtain particulars.

A copy of the [Notice of Hearing](#) is available on the MFDA website at www.mfda.ca. During the period described in the Notice of Hearing, the Respondent conducted business in the Kitchener, Ontario area.

The MFDA is the self-regulatory organization for Canadian mutual fund dealers, regulating the operations, standards of practice and business conduct of its Members and their approximately 76,695 Approved Persons with a mandate to protect investors and the public interest. For more information about the MFDA’s complaint and enforcement processes, as well as links to ‘Check an Advisor’ and other Investor Tools, visit the [For Investors](#) page on the MFDA website.

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