## **Mapping of IIROC CE Accreditation to MFDA CE Categories**

This document provides guidance to Providers on how Industry Regulatory Organization of Canada (IIROC) accredited courses can be used under the MFDA CE Program.

This document only applies to IIROC accredited CE courses. Courses otherwise accepted by IIROC Member Dealers for the purpose of CE completions cannot be accepted under the MFDA CE Program unless they have been accredited by a recognized accreditor.

Credits are to be assigned on a 1:1 basis. For example, a CE activity given 2.5 Professional Development credits under the IIROC CE approval program would be worth 2.5 Professional Development Credits under the MFDA CE Program.

| IIROC COURSE             | MFDA CE CATEGORY              |
|--------------------------|-------------------------------|
| Compliance               | Business Conduct <sup>1</sup> |
| Professional Development | Professional Development      |

If a Provider would like to post a CE activity as Business Conduct-Ethics, and the CE activity is not specifically listed on the IIROC website as an ethics course, the Provider must contact the MFDA at ce@mfda.ca to provide further information and obtain confirmation from the MFDA that they can post the CE activity as Business Conduct-Ethics.

## Upcoming changes to IIROC CE Accreditation administration.

Please note that IIROC will be taking on responsibility for accreditation under the IIROC CE program as at the start of the 2022-2023 IIROC CE cycle (commencing January 1, 2022), replacing the CECAP process. This will not affect the accreditation categories or mapping outlined above.

For greater clarity, as IIROC accreditations for IIROC's current cycle will expire on Dec 31, 2021, the CE activity must be renewed or reposted with new recognized accreditation if the Provider wishes to continue to offer the CE Activity under the MFDA CE Program.

For further details on the IIROC CE program, changes, and list of accredited courses, please see <a href="https://www.iiroc.ca/members/continuing-education">https://www.iiroc.ca/members/continuing-education</a>.

All questions regarding IIROC's accreditation and their CE program should be addressed to IIROC first.