IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1
OF THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA

Re: [Respondent]

ORDER

WHEREAS this motion, brought by [identify moving party] before a Hearing Panel (the "Hearing Panel") of the Regional Council of the [identify] Region of the Mutual Fund Dealers Association of Canada (the "MFDA") for [state in summary fashion the nature of the relief sought in the Notice of Motion] was heard [select format: by teleconference, in person, in writing] on [date] at [place];

[If applicable] AND WHEREAS pursuant to s. 19.13 of MFDA By-Law No. 1, one public representative of a Regional Council may be designated to act on behalf of a Hearing Panel for the purpose of hearing and determining any procedural matter or motion relating to the conduct of a disciplinary hearing under sections 20 and 24 including, without limitation, granting adjournments, setting dates for hearings, and making any other orders or directions that a Hearing Panel is authorized to make under the Corporation’s rules of procedure, except a final determination of a disciplinary proceeding;

AND WHEREAS on reading the [identify any affidavits or unsworn evidence filed on the motion, but not cases or Rules or Policies, etc.] and on hearing the submissions of counsel for [identify the party] and [where applicable, add (identify
party) or [no one appearing for (identify party)], although properly served as appears from (indicate proof of service);

**IT IS HEREBY ORDERED THAT:**

1. **Choose applicable option from Rule 6.10:**
   - [The relief requested is granted. State terms of Order made in consecutively numbered paragraphs.]
   - **OR**
   - [The motion is dismissed or adjourned, in whole or in part and with or without terms State any terms in consecutively numbered paragraphs.]
   - **OR**
   - [The motion is adjourned to be disposed of by the Hearing Panel presiding over the hearing of the proceeding on its merits.]

**DATED** at [municipality] this [day] day of [month], 200[ ].

Per: “____________”
[Name of Public Representative], Chair
(if applicable)

Per: “____________”
[Name of Industry Representative]

Per: “____________”
[Name of Industry Representative]