



Order

File No.

**IN THE MATTER OF A DISCIPLINARY HEARING  
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1  
OF THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

**Re: [Respondent]**

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**ORDER**

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**WHEREAS** this motion, brought by [identify moving party] before a Hearing Panel (the "Hearing Panel") of the Regional Council of the [identify] Region of the Mutual Fund Dealers Association of Canada (the "MFDA") for [*state in summary fashion the nature of the relief sought in the Notice of Motion*] was heard [*select format: by teleconference, in person, in writing*] on [date] at [place];

[*If applicable*] **AND WHEREAS** pursuant to s. 19.13 of MFDA By-Law No. 1, one public representative of a Regional Council may be designated to act on behalf of a Hearing Panel for the purpose of hearing and determining any procedural matter or motion relating to the conduct of a disciplinary hearing under sections 20 and 24 including, without limitation, granting adjournments, setting dates for hearings, and making any other orders or directions that a Hearing Panel is authorized to make under the Corporation's rules of procedure, except a final determination of a disciplinary proceeding;

**AND WHEREAS** on reading the [identify any affidavits or unsworn evidence filed on the motion, but not cases or Rules or Policies, etc.] and on hearing the submissions of counsel for [identify the party] and [*where applicable, add (identify*

party) or [no one appearing for (identify party)], although properly served as appears from (*indicate proof of service*);

**IT IS HEREBY ORDERED THAT:**

1. Choose applicable option from Rule 6.10:

- [*The relief requested is granted. State terms of Order made in consecutively numbered paragraphs.*]

**OR**

- [*The motion is dismissed or adjourned, in whole or in part and with or without terms State any terms in consecutively numbered paragraphs.*]

**OR**

- [*The motion is adjourned to be disposed of by the Hearing Panel presiding over the hearing of the proceeding on its merits.*]

**DATED** at [municipality] this [day] day of [month], 200[ ].

Per: “ \_\_\_\_\_ ”

[Name of Public Representative], Chair

(*if applicable*)

Per: “ \_\_\_\_\_ ”

[Name of Industry Representative]

Per: “ \_\_\_\_\_ ”

[Name of Industry Representative]