

SCHEDULE B:
REGISTRATION INFORMATION



Schedule "B"

REGISTRATION INFORMATION

An organization seeking MFDA membership must be registered as a mutual fund dealer with the applicable provincial or territorial securities regulatory authority in the province or territory in which they intend to do business. The securities commissions issue the mutual fund dealer registration. Applications for registration as a mutual fund dealer should be made directly with the registration department of the applicable securities regulatory authorities outlined below.

An application for mutual fund dealer registration can be filed at the same time as an application for membership in the MFDA, but the applicant will not be admitted to membership in the MFDA until the application for mutual fund dealer registration has been approved by the applicable securities regulatory authority.

ALBERTA

Alberta Securities Commission
Suite 600, 250–5th St. SW
Calgary, Alberta, T2P 0R4
Telephone: (403) 297-6454
Website: www.albertasecurities.com

BRITISH COLUMBIA

British Columbia Securities Commission
P.O. Box 10142 Pacific Centre
701 West Georgia Street
Vancouver, BC, Canada V7Y 1L2
Telephone: (604) 899-6854 or
1-800-373-6393 (toll free across Canada)
Website: www.bcsc.bc.ca

MANITOBA

The Manitoba Securities Commission
500-400 St. Mary Avenue
Winnipeg MB R3C 4K5
Telephone: (204) 945-2548
Website: www.mbsecurities.ca

NEW BRUNSWICK

Financial and Consumer Services
Commission
85 Charlotte Street, Suite 300
Saint John, NB E2L 2J2
Telephone: 1-866-933-2222
Website: www.fcnb.ca

NEWFOUNDLAND & LABRADOR

Office of the Superintendent of Securities
Service Newfoundland and Labrador
2nd Floor, West Block, Confederation
Building
1 Prince Philip Drive, P.O. Box 8700
St. John's, NL, Canada A1B 4J6
Telephone: (709) 729-4189
Website: www.gov.nl.ca/snl/snl/

NORTHWEST TERRITORIES

Office of the Superintendent of Securities
Legal Registries, Department of Justice
Government of the Northwest Territories
1st Floor, Stuart Hodgson Building
5009-49th Street, PO Box 1320
Yellowknife, NT X1A 2L9
Telephone: (867) 767-9305
Website: www.justice.gov.nt.ca

NOVA SCOTIA

Nova Scotia Securities Commission
Ste. 400, Duke Tower,
5251 Duke St., PO Box 458
Halifax, NS B3J 2P8
Telephone: (902) 424-7768
Website: www.nssc.novascotia.ca

NUNAVUT

Office of the Superintendent of Securities
Department of Justice
Government of Nunavut
1st Floor, Brown Building
PO Box 1000, Station 570
Iqaluit, NU X0A 0H0
Telephone: (867) 975-6590
Website: www.nunavutlegalregistries.ca

ONTARIO

Ontario Securities Commission
20 Queen Street West, 20th Floor
Toronto, ON, Canada M5H 3S8
Telephone: (416) 593-8314 or
Toll Free: 1-877-785-1555
Website: www.osc.gov.on.ca

PRINCE EDWARD ISLAND

Office of the Superintendent of Securities
Consumer, Corporate and Financial Services
Division
Office of the Attorney General
95 Rochford Street, PO Box 2000
Charlottetown, PEI, C1A 7N8
Telephone: (902) 620-3870
Website: www.princeedwardisland.ca

QUEBEC

Autorité des marchés financiers
800, square Victoria, 4^e étage
C.P. 246, Place Victoria
Montréal (Québec) H4Z 1G3
Telephone: (514) 395-0337 (Montreal)
(418) 525-0337 (Québec)
Website: www.lautorite.qc.ca

SASKATCHEWAN

Financial and Consumer Affairs Authority
of Saskatchewan
Suite 601, 1919 Saskatchewan Drive
Regina, SK S4P 4H2
Telephone: (306) 787-5645
Website: www.fcaa.gov.sk.ca

YUKON

Office of the Superintendent of Securities
Community Services, Yukon Government
Box 2703, 307 Black Street
Whitehorse, YK Y1A 2C6
Telephone: (867) 667-5466
Website: www.yukon.ca