



**Mutual Fund Dealers Association of Canada**  
Association canadienne des courtiers de fonds mutuels

## **NEWS RELEASE**

*For immediate release*

*For further information, please contact:*

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### **MFDA schedules next appearance in the matter of Antony Chau**

**September 14, 2021** (Toronto, Ontario) – The Mutual Fund Dealers Association of Canada (“MFDA”) commenced a disciplinary proceeding in respect of Antony Kin San Chau (“Respondent”) by Notice of Hearing dated July 9, 2021.

As specified in the Notice of Hearing, the first appearance in this proceeding was held today in Toronto, Ontario by videoconference before a Hearing Panel of the MFDA’s Central Regional Council.

Following submissions by Staff of the MFDA, the next appearance in this proceeding will take place by teleconference on September 29, 2021, commencing at 10:00 a.m. (Eastern), or as soon thereafter as the appearance can be held. The appearance will be open to the public, except as may be required for the protection of confidential matters. Members of the public who would like to listen to the teleconference should contact [hearings@mfd.ca](mailto:hearings@mfd.ca) to obtain particulars.

A copy of the [Notice of Hearing](#) is available on the MFDA website at [www.mfd.ca](http://www.mfd.ca). During the period described in the Notice of Hearing, the Respondent conducted business in the Toronto, Ontario area.

The MFDA is the self-regulatory organization for Canadian mutual fund dealers, regulating the operations, standards of practice and business conduct of its Members and their approximately 80,000 Approved Persons with a mandate to protect investors and the public interest. For more information about the MFDA’s complaint and enforcement processes, as well as links to ‘Check an Advisor’ and other Investor Tools, visit the [For Investors](#) page on the MFDA website.

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