



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

NEWS RELEASE

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Scott C. Armstrong applies for review of MFDA Hearing Panel decision

September 2, 2015 (Toronto, Ontario) – A Hearing Panel of the Atlantic Regional Council of the Mutual Fund Dealers Association of Canada (“MFDA”) issued its Decision and Reasons on September 2, 2011, in connection with a disciplinary hearing held in Saint John, New Brunswick in respect of Scott C. Armstrong (the “Respondent”). A copy of the [Decision and Reasons](#) is available on the MFDA website at www.mfda.ca.

On April 30, 2015, the Respondent applied to the Financial and Consumer Services Tribunal of New Brunswick for a Hearing and Review of the MFDA Hearing Panel’s decision, pursuant to section 44(1) of the *Securities Act*, S.N.B. 2004, c. S-5.5, as amended.

The Hearing and Review has been scheduled to commence on November 30, 2015, at 9:00 a.m. (Atlantic) in the hearing room located at the Financial and Consumer Services Tribunal of New Brunswick, 85 Charlotte Street, Suite 300, Saint John, New Brunswick. A motion by Staff to dismiss the application for a Hearing and Review will be heard prior to the commencement of the Hearing on the Merits.

The link to the Financial and Consumer Services Tribunal Hearing Schedule is available [here](#).

The MFDA is the self-regulatory organization for Canadian mutual fund dealers, regulating the operations, standards of practice and business conduct of its 103 Members and their over 80,000 Approved Persons with a mandate to protect investors and the public interest. For more information about the MFDA’s complaint and enforcement processes, as well as links to ‘Check an Advisor’ and other Investor Tools, visit the [For Investors](#) page on the MFDA website.

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