



**Mutual Fund Dealers Association of Canada**  
Association canadienne des courtiers de fonds mutuels

## NEWS RELEASE

*For immediate release*

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## **MFDA Hearing Panel approves settlement agreement with Darrel McIntyre**

**September 28, 2018** (Toronto, Ontario) – A settlement hearing in the matter of Darrel Colin McIntyre (“Respondent”) was held yesterday in Vancouver, British Columbia before a three-member Hearing Panel of the Pacific Regional Council of the Mutual Fund Dealers Association of Canada (“MFDA”).

The Hearing Panel approved the settlement agreement dated August 12, 2018 (“Settlement Agreement”) between Staff of the MFDA and the Respondent, as a consequence of which the following sanctions were imposed on the Respondent:

- a fine payable in the amount of \$11,000;
- costs payable in the amount of \$2,500; and
- in the future shall comply with MFDA Rule 2.1.1.

In the Settlement Agreement, the Respondent admitted that between February 20, 2015 and November 16, 2016, he obtained, possessed, and in some instances, used to process transactions, 35 pre-signed account forms in respect of 14 clients, contrary to MFDA Rule 2.1.1.

A copy of the [Settlement Agreement](#) is available on the MFDA website at [www.mfda.ca](http://www.mfda.ca). During the period described in the Settlement Agreement, the Respondent carried on business in the Victoria, British Columbia area.

The MFDA is the self-regulatory organization for Canadian mutual fund dealers, regulating the operations, standards of practice and business conduct of its Members and their approximately 82,000 Approved Persons with a mandate to protect investors and the public interest. For more information about the MFDA’s complaint and enforcement processes, as well as links to ‘Check an Advisor’ and other Investor Tools, visit the [For Investors](#) page on the MFDA website.

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