

**SCHEDULE D:
BRANCH AND SUB-BRANCH**

BRANCH AND SUB-BRANCH LOCATIONS

Applicants are required to provide the following information for each branch and sub-branch office.

All locations where an applicant conducts Member business must be listed, including Approved Person’s home offices. If Approved Persons are supervised at Head Office, include Head Office location as a branch.

A Branch is a location with 4 or more Approved Persons, and a sub-branch is a location with less than 4 Approved Persons.

Refer to MFDA Member Regulation MR-0014 for further guidance.

	Is the location a branch or sub-branch?		Complete Address	Designated Branch Manager	Telephone Number & Fax Number	Web-Site Address (For the branch or the Approved Persons working from the branch, if applicable)	Number of Approved Persons Assigned to the Branch Location
	Branch	Sub-branch					
1.							
2.							

Is the location a branch or sub-branch?		Address	Designated Branch Manager	Telephone Number & Fax Number	Web-Site Address ((For the branch or the Approved Persons working from the branch, if applicable))	Number of Approved Persons Assigned to the Branch Location
Branch	Sub-branch					